

*The William
and Flora
Hewlett
Foundation*

1997

A N N U A L R E P O R T

*The William
and Flora
Hewlett
Foundation*

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Statement of Purpose

The Hewlett Foundation, incorporated as a private foundation in the State of California in 1966, was established by the Palo Alto industrialist William R. Hewlett, his late wife, Flora Lamson Hewlett, and their eldest son, Walter B. Hewlett. The Foundation's broad purpose, as stated in the articles of incorporation, is to promote the well-being of mankind by supporting selected activities of a charitable nature, as well as organizations or institutions engaged in such activities.

The Foundation concentrates its resources on activities in education, performing arts, population, environment, conflict resolution, family and community development, and U.S.–Latin American relations.

Some subareas of interest to the Foundation are listed in the program descriptions that follow. Special projects outside of these broad areas may from time to time be approved by the Board of Directors. Although the Hewlett Foundation is a national foundation, with no geographic limit stipulated in its charter, a proportion of disburseable funds has been earmarked for projects in the San Francisco Bay Area.

The Foundation has a strong commitment to the voluntary, nonprofit sector. It will therefore assist efforts to improve the financial base and efficiency of organizations and institutions in this category. Proposals that show promise of stimulating private philanthropy are particularly welcome.

In its grantmaking decisions as well as in its interests and activities, the Hewlett Foundation is wholly independent of the Hewlett-Packard Company and the Hewlett-Packard Company Foundation.

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Programs

THE PROGRAM STATEMENTS that follow describe certain specific objectives of the Hewlett Foundation. Other goals are general; they underlie all the programs and all the funding choices the Foundation makes.

FIRST, the Foundation has a strong basic commitment to the voluntary, nonprofit sector that lies between industry and government. Institutions and organizations in this category serve purposes very important to our society, and their health and effectiveness are a major concern. Accordingly, the Foundation intends to assist efforts to strengthen their financial base and increase their efficiency.

SECOND, the Foundation also believes that private philanthropy is of great value to society. Support from individuals, businesses, or foundations can supplement government funding, and in some important cases can provide a benign and fruitful alternative. The Foundation considers the nation's habits of philanthropy, individual and corporate, less healthy than they could be, and therefore will be particularly receptive to proposals that show promise of stimulating private philanthropy.

A GREAT MANY excellent organizations meet both the general criteria suggested here and the specifications set forth in the statements that follow. Competition for the available funds is intense. The Foundation can respond favorably to only a small proportion of the worthwhile proposals it receives.

Conflict Resolution

The conflict resolution program supports work in a wide variety of settings. The Foundation favors general support grants intended to strengthen the institutional capacity of conflict resolution organizations and research centers. Grants are made in six categories.

Theory Development. The Foundation is particularly interested in university-based centers that demonstrate both a strong commitment to systematic, interdisciplinary research on conflict resolution and an ability to contribute to the improvement of conflict resolution practice.

Practitioner Organizations. The Foundation is interested primarily in opportunities to help effective and stable groups increase their capacity for growth and outreach. Grants support new approaches and new applications of conflict resolution methods, the achievement of greater organizational maturity, and efforts to enhance the overall impact of practitioner organizations on the field and on the communities in which they work. Candidates must demonstrate either (1) the capacity to deliver services to low-income citizens and other communities that historically have been underserved by the conflict resolution field; or (2) the capacity to extend the benefits of federal or state policy initiatives in conflict resolution to a wide audience.

Promotion of the Field. The Foundation supports organizations that (1) educate potential users about conflict resolution techniques; (2) serve the training and support needs of professionals and volunteers in the field of conflict resolution; and/or (3) promote the field as a whole.

Consensus Building, Public Participation, and Policymaking. Recognizing that the origins of conflict can often be traced to defects in methods of communication and participation in policymaking, the Foundation assists organizations that demonstrate means of improving the processes of decision making on issues of major public importance. The Foundation's interest is focused primarily on

Program Description

facilitating and convening organizations that explore new ways of approaching contentious public policy issues through collaborative action that addresses the legitimate interests of all involved parties.

International Conflict Resolution (by invitation). The Foundation supports a limited number of organizations that are working on the international application of conflict resolution techniques and the development of practice-relevant theory related to ethnic, ideological, religious, racial, and other intergroup conflict around the world. Applicants in this area are expected to show significant field-level involvement with conflicts that have international ramifications.

Emerging Issues. Each year the Foundation considers a small number of proposals addressed to emerging issues in the conflict resolution field. Grants support short-term projects responsive to such critical concerns as evaluation and professional standards. Applicants must demonstrate multi-institutional involvement in the work plan and project governance, as well as compelling evidence of likely impact on the field at large.

Proposals are considered according to the timetable below. Inquiries should be received by the Foundation at least three months prior to the deadline for submission of proposals.

| | Application Submitted by: | For Board Action in: |
|--|----------------------------------|-----------------------------|
| Theory Development | January 1 | April |
| Practitioner Organizations | October 1 | January |
| Promotion of the Field | July 1 | October |
| Consensus Building, Public Participation, and Policymaking | July 1 | October |
| International Conflict Resolution | January 1 | April |
| Emerging Issues | April 1 | July |

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| <i>Theory Development</i> | | | | |
| CITY UNIVERSITY OF NEW YORK, JOHN JAY COLLEGE OF CRIMINAL JUSTICE New York, New York <i>For general support of the Dispute Resolution Consortium (Awarded in 1996 for \$200,000)</i> | | \$100,000 | \$100,000 | |
| ■ CORNELL UNIVERSITY, INSTITUTE ON CONFLICT RESOLUTION Ithaca, New York <i>For the Workplace Dispute Resolution Network Project</i> | 300,000 | | 100,000 | 200,000 |
| GEORGE MASON UNIVERSITY Fairfax, Virginia <i>For general support of the Institute for Conflict Analysis and Resolution (Awarded in 1996 for \$150,000)</i> | | 100,000 | 50,000 | 50,000 |
| ■ GEORGIA TECH RESEARCH CORPORATION, COLLEGE OF ARCHITECTURE Atlanta, Georgia <i>For general support of the Consortium on Negotiation and Conflict Resolution</i> | 120,000 | | | 120,000 |
| HARVARD UNIVERSITY LAW SCHOOL Cambridge, Massachusetts <i>For the Fellowship Program on Law and Negotiation (Awarded in 1995 for \$200,000)</i> | | 20,000 | | 20,000 |
| UNIVERSITY OF HAWAII, MATSUNAGA INSTITUTE FOR PEACE Honolulu, Hawaii <i>For general support of the Program on Conflict Resolution (Awarded in 1996 for \$120,000)</i> | | 80,000 | 40,000 | 40,000 |
| NORTHWESTERN UNIVERSITY, J.L. KELLOGG GRADUATE SCHOOL OF MANAGEMENT Evanston, Illinois <i>For general support of the Dispute Resolution Center (Awarded in 1996 for \$90,000)</i> | | 90,000 | 60,000 | 30,000 |
| ■ OHIO STATE UNIVERSITY, COLLEGE OF LAW Columbus, Ohio <i>For the Center of the Study of Law and Mediation</i> | 300,000 | | 100,000 | 200,000 |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| RAND CORPORATION, INSTITUTE FOR CIVIL JUSTICE Santa Monica, California <i>For support of the program of research in alternative dispute resolution (Awarded in 1995 for \$250,000)</i> | | 80,000 | 80,000 | |
| ■ RUTGERS-STATE UNIVERSITY OF NEW JERSEY New Brunswick, New Jersey <i>For general support of the Center for Negotiation and Conflict Resolution</i> | 120,000 | | 60,000 | 60,000 |
| STANFORD UNIVERSITY Stanford, California <i>For general support of the Center on Conflict and Negotiation (Awarded in 1996 for \$200,000)</i> | | 150,000 | | 150,000 |
| SYRACUSE UNIVERSITY, MAXWELL SCHOOL OF CITIZENSHIP AND PUBLIC AFFAIRS Syracuse, New York <i>For general support of the Program on the Analysis and Resolution of Conflict (Awarded in 1996 for \$100,000)</i> | | 70,000 | 35,000 | 35,000 |
| WAYNE STATE UNIVERSITY Detroit, Michigan <i>For general support of the Program on Mediating Theory and Democratic Systems (Awarded in 1996 for \$150,000)</i> | | 100,000 | 50,000 | 50,000 |
| UNIVERSITY OF WISCONSIN, MADISON, INSTITUTE FOR LEGAL STUDIES Madison, Wisconsin <i>For general support of the Disputes Processing Research Program (Awarded in 1994 for \$180,000)</i> | | 60,000 | 60,000 | |

Practitioner Organizations

| | | | | |
|---|--------|--------|--------|--------|
| CATHOLIC CHARITIES OF THE EAST BAY, DIOCESE OF OAKLAND Oakland, California <i>For the Victim-Offender Reconciliation Program (Awarded in 1995 for \$120,000)</i> | | 30,000 | 30,000 | |
| ■ COMMUNITY BOARD PROGRAM San Francisco, California <i>For general support</i> | 25,000 | | 25,000 | |
| FRIENDS OUTSIDE San Jose, California <i>For the Creative Conflict Resolutions Program (Awarded in 1996 for \$150,000)</i> | | 45,000 | | 45,000 |

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ MARTIN LUTHER KING LEGACY ASSOCIATION Los Angeles, California <i>For general support of the Martin Luther King Dispute Resolution Center</i> | 120,000 | | 60,000 | 60,000 |
| ■ MEDIATION CENTER FOR DISPUTE RESOLUTION Minneapolis, Minnesota <i>For the Theory-to-Practice/Practice-to- Theory Project</i> | 150,000 | | 75,000 | 75,000 |
| UNIVERSITY OF OREGON Eugene, Oregon <i>For general support of the Conflict Resolution Center (Awarded in 1996 for \$75,000)</i> | | 35,000 | 35,000 | |
| ■ TIDES CENTER San Francisco, California <i>For the Early Settlement Project</i> | 10,000 | | 10,000 | |

Promotion of the Field

| | | | | |
|---|---------|---------|---------|---------|
| ■ AMERICAN BAR ASSOCIATION FUND FOR JUSTICE AND EDUCATION Washington, D.C. <i>For dispute resolution programs of the Commission on Legal Problems of the Elderly</i> | 230,000 | | | 230,000 |
| ASSOCIATION OF FAMILY AND CONCILIATION COURTS Madison, Wisconsin <i>For general support (Awarded in 1996 for \$150,000)</i> | | 150,000 | 150,000 | |
| CREATIVE RESPONSE TO CONFLICT Nyack, New York <i>For general support (Awarded in 1995 for \$250,000)</i> | | 50,000 | | 50,000 |
| INDIAN DISPUTE RESOLUTION SERVICES Sacramento, California <i>For general support (Awarded in 1996 for \$250,000)</i> | | 250,000 | 250,000 | |
| ■ UNIVERSITY OF MASSACHUSETTS, AMHERST, DEPARTMENT OF LEGAL STUDIES Amherst, Massachusetts <i>For the Center on Dispute Resolution and Digital Technologies</i> | 200,000 | | 80,000 | 120,000 |

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ MICHIGAN STATE UNIVERSITY, GRADUATE SCHOOL East Lansing, Michigan <i>For a project to promote the use of conflict resolution between faculty and graduate students</i> | 50,000 | | 50,000 | |
| UNIVERSITY OF MINNESOTA Saint Paul, Minnesota <i>For the Center for Restorative Justice and Mediation (Awarded in 1995 for \$200,000)</i> | | 100,000 | | 100,000 |
| ■ NATIONAL ASSOCIATION FOR COMMUNITY MEDIATION Washington, D.C. <i>For general support and for a case management software assistance program</i> | 500,000 | | 250,000 | 250,000 |
| NATIONAL CONFERENCE ON PEACEMAKING AND CONFLICT RESOLUTION Fairfax, Virginia <i>For general support (Awarded in 1996 for \$60,000)</i> | | 20,000 | 20,000 | |
| NATIONAL INSTITUTE FOR DISPUTE RESOLUTION Washington, D.C. <i>For the National Association for Mediation in Education (Awarded in 1994 for \$250,000)</i> | | 100,000 | 100,000 | |
| NATIONAL PEACE ACADEMY FOUNDATION Washington, D.C. <i>For general support (Awarded in 1995 for \$200,000)</i> | | 50,000 | 50,000 | |
| ■ NETWORK: INTERACTION FOR CONFLICT RESOLUTION Waterloo, Ontario, Canada <i>For general support</i> | 100,000 | | 50,000 | 50,000 |
| ■ POLICY LEADERSHIP AND CONSENSUS INITIATIVE FOR NORTH AMERICA Bismarck, North Dakota <i>For general support</i> | 775,000 | | 375,000 | 400,000 |
| SOCIETY OF PROFESSIONALS IN DISPUTE RESOLUTION Washington, D.C. <i>For general support (Awarded in 1995 for \$150,000)</i> | | 30,000 | 30,000 | |

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| TEACHERS COLLEGE, COLUMBIA UNIVERSITY, INTERNATIONAL CENTER FOR COOPERATION AND CONFLICT RESOLUTION New York, New York <i>For the Parent-Child Conflict Resolution Training in Day Care Project (Awarded in 1996 for \$300,000)</i> | | 170,000 | 85,000 | 85,000 |
| ■ WESTERN JUSTICE CENTER FOUNDATION Pasadena, California <i>For general support</i> | 500,000 | | 250,000 | 250,000 |
| WORKPLACE SOLUTIONS Red Hook, New York <i>For general support (Awarded in 1996 for \$270,000)</i> | | 270,000 | 120,000 | 150,000 |
| <i>Consensus Building, Public Participation, and Policy Making</i> | | | | |
| CALIFORNIA BUDGET PROJECT Sacramento, California <i>For the Budget and Governance Reform Project (Awarded in 1996 for \$60,000)</i> | | 40,000 | 40,000 | |
| CALIFORNIA STATE UNIVERSITY, SACRAMENTO Sacramento, California <i>For the California Governance Consensus Project (Awarded in 1996 for \$300,000)</i> | | 100,000 | 100,000 | |
| <i>For the Center for California Studies' California Budget Simulation (Awarded in 1996 for \$60,000)</i> | | 30,000 | | 30,000 |
| UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California <i>For activities related to California governance reform conducted by the UCLA Extension Public Policy Program (Awarded in 1996 for \$150,000)</i> | | 50,000 | 50,000 | |
| ■ CENTER FOR THE COMMON GOOD Oakland, California <i>For general support</i> | 300,000 | | 150,000 | 150,000 |
| ■ CONSENSUS BUILDING INSTITUTE Cambridge, Massachusetts <i>For endowment</i> | 300,000 | | 100,000 | 200,000 |
| LEAGUE OF WOMEN VOTERS OF CALIFORNIA, EDUCATION FUND Sacramento, California <i>For the Constitutional Reform Citizen Education Project (Awarded in 1996 for \$100,000)</i> | | 20,000 | 20,000 | |

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ NORTH DAKOTA CONSENSUS COUNCIL Bismarck, North Dakota <i>For general support</i> | 250,000 | | 150,000 | 100,000 |

International Conflict Resolution

| | | | | |
|--|---------|---------|---------|---------|
| ■ ASIA FOUNDATION San Francisco, California <i>For the Conflict Resolution Program</i> | 250,000 | | 100,000 | 150,000 |
| CARTER CENTER Atlanta, Georgia <i>For general support (Awarded in 1996 for \$150,000)</i> | | 50,000 | 50,000 | |
| ■ CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES Washington, D.C. <i>For the Preventive Diplomacy Program</i> | 200,000 | | 150,000 | 50,000 |
| EASTERN MENNONITE UNIVERSITY, INSTITUTE FOR CONFLICT STUDIES AND PEACE BUILDING Harrisonburg, Virginia <i>For general support of the Conflict Analysis and Transformation Program (Awarded in 1995 for \$200,000)</i> | | 70,000 | 70,000 | |
| ■ FUND FOR PEACE, ACCESS Washington, D.C. <i>For general support</i> | 35,000 | | 35,000 | |
| INSTITUTE FOR EAST-WEST STUDIES New York, New York <i>For general support (Awarded in 1995 for \$300,000)</i> | | 100,000 | | 100,000 |
| ■ INSTITUTE OF WORLD AFFAIRS Washington, D.C. <i>For general support</i> | 200,000 | | 100,000 | 100,000 |
| ■ INTERNATIONAL CRISIS GROUP Brussels, Belgium <i>For general support</i> | 200,000 | | 100,000 | 100,000 |
| JOHNS HOPKINS UNIVERSITY, SCHOOL OF ADVANCED INTERNATIONAL STUDIES Washington, D.C. <i>For support of the Project on Processes of International Negotiation (Awarded in 1995 for \$200,000)</i> | | 95,000 | | 95,000 |

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ MERCY CORPS INTERNATIONAL Portland, Oregon <i>For the project Building Civil Society via Physical Reconstruction in Bosnia-Herzegovina</i> | 200,000 | | 100,000 | 100,000 |
| ■ PROJECT ON ETHNIC RELATIONS Princeton, New Jersey <i>For general support</i> | 250,000 | | 125,000 | 125,000 |
| ■ SEARCH FOR COMMON GROUND Washington, D.C. <i>For general support</i> | 200,000 | | 75,000 | 125,000 |
| UNITED NATIONS INSTITUTE FOR TRAINING AND RESEARCH Geneva, Switzerland <i>For the Fellowship Programme in Peacemaking and Preventive Diplomacy (Awarded in 1996 for \$75,000)</i> | | 25,000 | 25,000 | |
| UNIVERSITY OF VIRGINIA Charlottesville, Virginia <i>For general support of the Center for the Study of Mind and Human Interaction (Awarded in 1995 for \$200,000)</i> | | 60,000 | 60,000 | |
| WORLD CONFERENCE ON RELIGION AND PEACE New York, New York <i>For the Standing Commission on Conflict Transformation (Awarded in 1996 for \$150,000)</i> | | 25,000 | 25,000 | |

Emerging Issues

| | | | | |
|---|---------|--------|---------|---------|
| GEORGETOWN UNIVERSITY LAW CENTER Washington, D.C. <i>For the Commission on Ethics and Standards of Practice (Awarded in 1995 for \$100,000)</i> | | 50,000 | | 50,000 |
| ■ UNIVERSITY OF GEORGIA, CARL VINSON INSTITUTE OF GOVERNMENT Athens, Georgia <i>For the Mediator Skills and Evaluation Project</i> | 330,000 | | 155,000 | 175,000 |
| HOFSTRA UNIVERSITY, SCHOOL OF LAW Hempstead, New York <i>For a mediation training design project (Awarded in 1996 for \$130,000)</i> | | 65,000 | | 65,000 |

| Conflict Resolution: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| <p>TEMPLE UNIVERSITY, DEPARTMENT OF COMMUNICATION SCIENCES Philadelphia, Pennsylvania <i>For support of the Comprehensive Peer Mediation Evaluation Project (Awarded in 1995 for \$250,000)</i></p> | | 50,000 | 50,000 | |
| <i>Other</i> | | | | |
| <p>UNIVERSITY OF HAWAII, DEPARTMENT OF URBAN AND REGIONAL PLANNING Honolulu, Hawaii <i>For a volume entitled "Finding the Common Good: Case Studies in Consensus-Building and the Resolution of Natural Resource Controversies" (Awarded in 1996 for \$25,000)</i></p> | | 25,000 | 25,000 | |
| <p>■ NATIONAL HERITAGE FOUNDATION Falls Church, Virginia <i>For the Federal Mediation and Conciliation Service archive project</i></p> | 50,000 | | | 50,000 |
| TOTAL CONFLICT RESOLUTION | \$6,265,000 | \$3,005,000 | \$4,685,000 | \$4,585,000 |

Education

Grants in the education program are made to promote long-term institutional development, reform, or renewal in the program areas described below. Proposals of exceptional merit that do not fit directly within the stated areas may be considered if they serve several institutions or otherwise advance the Foundation's interest in improving elementary, secondary, or higher education. Detailed guidelines are available on request for programs marked with an asterisk (*). Applicants are encouraged to submit a brief letter of inquiry for review before preparing a complete proposal. Grants are awarded on the basis of merit, educational importance, relevance to program goals, and cost-effectiveness.

Higher Education

Grantmaking in this program focuses on higher education in the United States. Grants are generally limited to liberal arts-oriented institutions and research universities, with emphasis on established institutions with strong records of exemplary work. Ideas that can also be applied to other such institutions are preferred.

*Pluralism and Unity.** Colleges and universities play a significant role in fostering appreciation for both diversity and the common good in our society. The Foundation supports such efforts and seeks to nurture ideas and programs that unify individuals and groups while respecting the differences between and among them. Invited institutions must demonstrate a commitment to these twin goals of pluralism and unity in their own policies, practices, and aspirations.

*Liberal Arts Institutions.** The Foundation supports private liberal arts colleges and small to mid-sized comprehensive private universities that engage in self-assessment, planning, and program development to enhance the teaching-learning relationship, with emphasis on programs that strengthen the connection among liberal learning, students' career potential and goals, responsible citizenship, and personal development. This program favors but is not limited to institutions in California, Oregon, and Washington.

Program Description

*General Education in Research Universities.** The Foundation supports initiatives in research universities to rethink and improve the general education of lower-division undergraduates. Proposals that focus on student outcomes, faculty incentives, teaching innovations, and especially the general education curriculum taken as a whole will be favored over those concerned only with curriculum design.

Tools of Scholarship. A limited number of grants are made to research-library umbrella organizations (but not to individual libraries) and similar collaborative entities that improve scholarly communication through the cost-effective use of technology.

Historically Black Private Colleges and Universities. In partnership with the Bush Foundation, the Foundation supports an ongoing program of grants for capital needs and faculty development at private black colleges and universities. This program is administered by the Bush Foundation.

Elementary and Secondary Education

Grants in the K-12 area are generally limited to California programs, with primary emphasis on public schools in the San Francisco Bay Area. Proposals are expected to aim for systemic significance in an effort to advance educational reform. In this program the Foundation favors schools, school districts, colleges, universities, and groupings of these entities. Third parties may be considered when a school or district takes primary responsibility for the reform aspects of the work. The Foundation does not support supplementary or compensatory programs, electing instead to focus on institutional and policy changes that will influence the success of schooling on a sustainable basis.

The Hewlett and Annenberg foundations in May 1995 jointly awarded a \$50 million, five-year matching challenge grant to the Bay Area School Reform Collaborative for public school reform in the counties of San Francisco, San Mateo, Santa Clara, Alameda, Contra Costa, and Marin. Programs that reinforce the reform objectives of the Collaborative will be given highest priority in the consideration of proposals in the categories described below.

The Teaching Career. The Foundation supports programs carried out by colleges, universities, school districts, or other agencies in partnership with schools dedicated to strengthening the profession of teaching and to improving teachers' career preparation and professional development. The Foundation seeks to support new and effective approaches to preservice training, initiation into classroom practice, continued development, and professional standards to enhance teacher effectiveness and the career attractiveness of teaching.

School Site and District Leadership. The Foundation supports efforts to build leadership and management skills among public school superintendents, district staff, and school principals and, when integral to a school reform strategy, among school board members, teachers, and school teams. In adopting this emphasis, the Foundation explicitly recognizes the growing challenge of school leadership and management in sustaining school reform, the importance of building coherent strategies from a set of reform options, the value in applying skills developed in other areas to schools, and the need to strengthen leaders and managers as professionals on whom much of the success of school reform depends.

Regional Support. The Foundation supports organizations and programs that provide support for public school reform and improvement to the Bay Area as a whole or to substantial subsections of it.

Educational Policy. The Foundation funds organizations and efforts that promise to contribute significantly to policy studies affecting school reform and improved public elementary and secondary education nationally and in California.

The Foundation will not consider requests to fund student aid, construction, equipment and computer purchases, education research, basic scientific research, health research, or health education programs. In general, the Foundation discourages requests benefiting only individual institutions except as these may explicitly relate to stated Foundation objectives.

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
|--|------------------------------|------------------------------|--------------------------|------------------------------|

Higher Education

Pluralism and Unity

To support pluralism and unity programs
at colleges and universities

| | | | | |
|---|----------|--------|----------|----------|
| ■ ASSOCIATION OF AMERICAN COLLEGES AND UNIVERSITIES Washington, D.C. <i>For the project Understanding the Difference Diversity Makes: Assessing Campus Diversity and Tolerance Initiatives</i> | \$50,000 | | \$25,000 | \$25,000 |
| BARNARD COLLEGE New York, New York <i>(Awarded in 1995 for \$86,000)</i> | | 41,000 | 41,000 | |
| UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California <i>(Awarded in 1996 for \$100,000)</i> | | 50,000 | 50,000 | |
| UNIVERSITY OF CALIFORNIA, DAVIS Davis, California <i>(Awarded in 1996 for \$100,000)</i> | | 25,000 | | 25,000 |
| UNIVERSITY OF CALIFORNIA, IRVINE Irvine, California <i>(Awarded in 1996 for \$100,000)</i> | | 55,000 | 55,000 | |
| UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California <i>(Awarded in 1996 for \$100,000)</i> | | 50,000 | | 50,000 |
| UNIVERSITY OF CALIFORNIA, RIVERSIDE Riverside, California <i>(Awarded in 1996 for \$100,000)</i> | | 50,000 | | 50,000 |
| UNIVERSITY OF CALIFORNIA, SAN DIEGO La Jolla, California <i>(Awarded in 1996 for \$100,000)</i> | | 50,000 | 50,000 | |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO San Francisco, California <i>(Awarded in 1996 for \$90,000)</i> | | 45,000 | | 45,000 |
| UNIVERSITY OF CALIFORNIA, SANTA BARBARA Santa Barbara, California <i>(Awarded in 1996 for \$86,000)</i> | | 65,000 | 65,000 | |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| UNIVERSITY OF CALIFORNIA, SANTA CRUZ FOUNDATION Santa Cruz, California (AwarDED in 1996 for \$125,000) | | 61,000 | 61,000 | |
| ■ DARTMOUTH COLLEGE Hanover, New Hampshire | 75,000 | | 38,000 | 37,000 |
| ■ GALLAUDET UNIVERSITY Washington, D.C. | 150,000 | | 50,000 | 100,000 |
| HERITAGE COLLEGE Toppenish, Washington (AwarDED in 1996 for \$75,000) | | 40,000 | 40,000 | |
| UNIVERSITY OF MICHIGAN Ann Arbor, Michigan (AwarDED in 1995 for \$100,000) | | 50,000 | 50,000 | |
| ■ OBERLIN COLLEGE Oberlin, Ohio | 105,000 | | 35,000 | 70,000 |
| UNIVERSITY OF REDLANDS Redlands, California (AwarDED in 1994 for \$100,000) | | 50,000 | 50,000 | |
| SMITH COLLEGE Northampton, Massachusetts (AwarDED in 1996 for \$100,000) | | 45,000 | 45,000 | |
| ■ UNIVERSITY OF SOUTHERN CALIFORNIA Los Angeles, California | 75,000 | | 40,000 | 35,000 |
| STANFORD UNIVERSITY Stanford, California (AwarDED in 1995 for \$75,000) | | 37,000 | 37,000 | |
| ■ UNIVERSITY OF TEXAS, AUSTIN Austin, Texas | 100,000 | | 55,000 | 45,000 |
| ■ UNIVERSITY OF WASHINGTON Seattle, Washington | 150,000 | | 50,000 | 100,000 |

Liberal Arts Colleges: International Studies

*To support multidisciplinary
international studies*

| | | | | |
|---|--|--------|--------|--|
| ROLLINS COLLEGE Winter Park, Florida (AwarDED in 1995 for \$75,000) | | 15,000 | 15,000 | |
| VASSAR COLLEGE Poughkeepsie, New York (AwarDED in 1995 for \$75,000) | | 37,000 | 37,000 | |

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|

Research Universities: International and Area Studies

*To supplement discretionary fund endowments
for area and international studies*

**UNIVERSITY OF PITTSBURGH, UNIVERSITY
CENTER FOR INTERNATIONAL STUDIES**
Pittsburgh, Pennsylvania

(Awarded in 1992 for \$300,000)

250,000

50,000

200,000

**UNIVERSITY OF SOUTHERN CALIFORNIA,
SCHOOL OF INTERNATIONAL RELATIONS**
Los Angeles, California

(Awarded in 1992 for \$300,000)

116,000

116,000

Research Universities: General Education

To support programs in general education

UNIVERSITY OF ARIZONA
Tucson, Arizona

(Awarded in 1996 for \$150,000)

75,000

75,000

■ **BOSTON UNIVERSITY**
Boston, Massachusetts

140,000

85,000

55,000

■ **UNIVERSITY OF CALIFORNIA, BERKELEY**
Berkeley, California

100,000

50,000

50,000

UNIVERSITY OF CALIFORNIA, LOS ANGELES
Los Angeles, California

(Awarded in 1994 for \$135,000)

60,000

60,000

UNIVERSITY OF CALIFORNIA, SAN DIEGO
La Jolla, California

(Awarded in 1994 for \$145,000)

80,000

80,000

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
Santa Barbara, California

(Awarded in 1995 for \$150,000)

75,000

75,000

CARNEGIE MELLON UNIVERSITY
Pittsburgh, Pennsylvania

(Awarded in 1995 for \$150,000)

75,000

75,000

UNIVERSITY OF CHICAGO
Chicago, Illinois

(Awarded in 1995 for \$150,000)

75,000

75,000

■ **UNIVERSITY OF CONNECTICUT**
Storrs, Connecticut

150,000

30,000

120,000

CORNELL UNIVERSITY
Ithaca, New York

(Awarded in 1994 for \$145,000)

45,000

45,000

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ UNIVERSITY OF DELAWARE Newark, Delaware | 40,000 | | 40,000 | |
| ■ DUKE UNIVERSITY Durham, North Carolina | 120,000 | | 60,000 | 60,000 |
| UNIVERSITY OF ILLINOIS Champaign, Illinois <i>(Awarded in 1996 for \$150,000)</i> | | 75,000 | 75,000 | |
| JOHNS HOPKINS UNIVERSITY Baltimore, Maryland <i>(Awarded in 1995 for \$150,000)</i> | | 75,000 | 75,000 | |
| LEHIGH UNIVERSITY Bethlehem, Pennsylvania <i>(Awarded in 1996 for \$150,000)</i> | | 100,000 | | 100,000 |
| UNIVERSITY OF MARYLAND College Park, Maryland <i>(Awarded in 1996 for \$150,000)</i> | | 75,000 | 75,000 | |
| UNIVERSITY OF MIAMI Coral Gables, Florida <i>(Awarded in 1996 for \$150,000)</i> | | 75,000 | 75,000 | |
| ■ NEW YORK UNIVERSITY New York, New York | 145,000 | | 120,000 | 25,000 |
| ■ NORTH CAROLINA STATE UNIVERSITY Raleigh, North Carolina | 150,000 | | 75,000 | 75,000 |
| ■ NORTHWESTERN UNIVERSITY Evanston, Illinois | 150,000 | | 75,000 | 75,000 |
| UNIVERSITY OF PITTSBURGH Pittsburgh, Pennsylvania <i>(Awarded in 1996 for \$150,000)</i> | | 75,000 | | 75,000 |
| PRINCETON UNIVERSITY Princeton, New Jersey <i>(Awarded in 1996 for \$150,000)</i> | | 75,000 | 75,000 | |
| ■ RENSSELAER POLYTECHNIC INSTITUTE Troy, New York | 150,000 | | 75,000 | 75,000 |
| UNIVERSITY OF ROCHESTER Rochester, New York <i>(Awarded in 1996 for \$100,000)</i> | | 50,000 | 50,000 | |
| STATE UNIVERSITY OF NEW YORK, ALBANY, RESEARCH FOUNDATION Albany, New York <i>(Awarded in 1995 for \$150,000)</i> | | 100,000 | 50,000 | 50,000 |
| ■ UNIVERSITY OF TENNESSEE, KNOXVILLE Knoxville, Tennessee | 150,000 | | 75,000 | 75,000 |

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| UNIVERSITY OF VIRGINIA Charlottesville, Virginia (Awarded in 1996 for \$130,000) | | 65,000 | 65,000 | |
| ■ WASHINGTON STATE UNIVERSITY Pullman, Washington | 150,000 | | 100,000 | 50,000 |
| WASHINGTON UNIVERSITY Saint Louis, Missouri (Awarded in 1996 for \$150,000) | | 75,000 | 75,000 | |

Liberal Arts Colleges: Self-Renewal Program

| | | | | |
|---|---------|---------|---------|---------|
| ■ ALVERNO COLLEGE Milwaukee, Wisconsin <i>For the liberal arts institutions program</i> | 100,000 | | 50,000 | 50,000 |
| AUSTIN COLLEGE Sherman, Texas <i>To supplement the presidential discretionary fund endowment (Awarded in 1993 for \$250,000)</i> | | 205,000 | 95,000 | 110,000 |
| CONNECTICUT COLLEGE New London, Connecticut <i>To supplement the presidential fund endowment established under the Hewlett-Mellon program (Awarded in 1993 for \$250,000)</i> | | 180,000 | 180,000 | |
| ■ HARTWICK COLLEGE Oneonta, New York <i>For the liberal arts institutions program</i> | 100,000 | | 50,000 | 50,000 |
| ■ HOBART AND WILLIAM SMITH COLLEGES Geneva, New York <i>For the liberal arts institutions program</i> | 100,000 | | 50,000 | 50,000 |
| LEWIS AND CLARK COLLEGE Portland, Oregon <i>To supplement the presidential discretionary fund endowment (Awarded in 1994 for \$125,000)</i> | | 92,000 | 92,000 | |
| ■ LINFIELD COLLEGE McMinnville, Oregon <i>For the liberal arts institutions program</i> | 100,000 | | 50,000 | 50,000 |
| MILLS COLLEGE Oakland, California <i>To supplement the presidential discretionary fund endowment established under the Hewlett-Mellon program (Awarded in 1993 for \$250,000)</i> | | 96,000 | 96,000 | |

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| ■ SAINT MARY'S COLLEGE OF CALIFORNIA Moraga, California <i>For the liberal arts institutions program</i> | 100,000 | | 80,000 | 20,000 |
| SCRIPPS COLLEGE Claremont, California <i>To supplement the presidential discretionary fund endowment (Awarded in 1993 for \$100,000)</i> | | 14,000 | 14,000 | |
| ■ WILLAMETTE UNIVERSITY Salem, Oregon <i>For the liberal arts institutions program</i> | 100,000 | | 60,000 | 40,000 |
| WOFFORD COLLEGE Spartanburg, South Carolina <i>To supplement the presidential discretionary fund endowment (Awarded in 1994 for \$125,000)</i> | | 125,000 | 125,000 | |
| ■ WOODROW WILSON NATIONAL FELLOWSHIP FOUNDATION Chicago, Illinois <i>For the Associated New American Colleges</i> | 300,000 | | 100,000 | 200,000 |

Tools of Scholarship

COMMISSION ON PRESERVATION AND ACCESS

Washington, D.C.

For general support

(Awarded in 1995 for \$250,000)

110,000

110,000

Historically Black Private Colleges

BUSH FOUNDATION

Saint Paul, Minnesota

*For the accrual portion of the Bush
 Foundation set-aside for Capital Campaign
 and Faculty Development programs*

(Awarded in 1995 for \$832,000)

291,000

291,000

Elementary and Secondary Education

The Teaching Career

BAY AREA SCHOOL REFORM COLLABORATIVE

San Francisco, California

- *For the Bay Area National Digital
Library Project*

35,000

35,000

- *For the Bay Area National Digital
Library Project*

500,000

500,000

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ CALIFORNIA STATE UNIVERSITY, CHICO, DEPARTMENT OF GEOGRAPHY AND PLANNING Chico, California <i>For the Professional Development in Geographic Education Program</i> | 25,000 | | 25,000 | |
| ■ CALIFORNIA STATE UNIVERSITY, SACRAMENTO, INSTITUTE FOR EDUCATION REFORM Sacramento, California <i>For the Statewide Teacher Recruitment Program</i> | 100,000 | | 100,000 | |
| UNIVERSITY OF CALIFORNIA, BERKELEY, LAWRENCE HALL OF SCIENCE Berkeley, California <i>For the Center for School Change (Awarded in 1996 for \$375,000)</i> | | 225,000 | | 225,000 |
| CENTER FOR THE FUTURE OF TEACHING AND LEARNING Santa Cruz, California <i>For general support (Awarded in 1996 for \$300,000)</i> | | 200,000 | 100,000 | 100,000 |
| ■ FOUNDATION FOR THE FUTURE Atherton, California <i>For Sequoia Union High School District's Beginning Teacher Project</i> | 20,000 | | 20,000 | |
| GALEF INSTITUTE Los Angeles, California <i>For the Different Ways of Knowing Program in the Bay Area (Awarded in 1996 for \$275,000)</i> | | 150,000 | 100,000 | 50,000 |
| ■ MILLS COLLEGE Oakland, California <i>For the Spectrum Program</i> | 75,000 | | 75,000 | |
| ■ OAKLAND UNIFIED SCHOOL DISTRICT Oakland, California <i>For the Efficacy Institute</i> | 250,000 | | | 250,000 |
| ■ PALO ALTO UNIFIED SCHOOL DISTRICT Palo Alto, California <i>For the Bay Area Schools for Excellence in Education Program</i> | 250,000 | | 50,000 | 200,000 |
| REDWOOD CITY SCHOOL DISTRICT Redwood City, California <i>For the Enhancing Student Success Through Teacher Collaboration Project (Awarded in 1996 for \$360,000)</i> | | 240,000 | | 240,000 |

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| SAN FRANCISCO STATE UNIVERSITY, SCHOOL OF EDUCATION San Francisco, California | | | | |
| ■ <i>For the University School Support for Educational Reform Project</i> | 20,000 | | 20,000 | |
| ■ <i>For the Muir Alternative Teacher Education Program</i> | 400,000 | | 142,000 | 258,000 |
| SAN JOSE UNIFIED EDUCATIONAL FOUNDATION San Jose, California | | | | |
| <i>For the New Urban Teacher Education Collaborative (Awarded in 1996 for \$325,000)</i> | | | | |
| | | 200,000 | | 200,000 |
| ■ TEACH FOR AMERICA New York, New York | | | | |
| <i>For TEACH! Bay Area</i> | 220,000 | | 80,000 | 140,000 |
| ■ WELLESLEY COLLEGE, CENTER FOR RESEARCH ON WOMEN Wellesley, Massachusetts | | | | |
| <i>For the National SEED Project</i> | 30,000 | | | 30,000 |
| ■ WEST CONTRA COSTA UNIFIED SCHOOL DISTRICT Richmond, California | | | | |
| <i>For Project SEED</i> | 345,000 | | 115,000 | 230,000 |

School Site and District Leadership

| | | | | |
|---|---------|---------|---------|---------|
| ■ ASSOCIATION OF CALIFORNIA SCHOOL ADMINISTRATORS Burlingame, California | | | | |
| <i>To establish an Applied Leadership Center for Bay Area school principals</i> | | | | |
| | 335,000 | | 120,000 | 215,000 |
| ■ BAY AREA SCHOOL REFORM COLLABORATIVE San Francisco, California | | | | |
| <i>For a planning effort leading to an initiative in school leadership</i> | | | | |
| | 43,000 | | | 43,000 |
| CALIFORNIA STATE UNIVERSITY, HAYWARD Hayward, California | | | | |
| <i>For the Center for Educational Leadership (Awarded in 1996 for \$300,000)</i> | | | | |
| | | 200,000 | 100,000 | 100,000 |
| ■ EAST SIDE UNION HIGH SCHOOL DISTRICT San Jose, California | | | | |
| <i>For leadership development</i> | 75,000 | | 75,000 | |
| ■ SAN JOSE STATE UNIVERSITY, COLLEGE OF EDUCATION San Jose, California | | | | |
| <i>For the Master of Arts in Education with an Emphasis on Teacher Leadership Program</i> | | | | |
| | 50,000 | | 50,000 | |

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| <hr/> | | | | |
| ■ SANTA CLARA COUNTY, OFFICE OF EDUCATION San Jose, California <i>For the Educational Leadership Academy of Santa Clara County</i> | 50,000 | | 50,000 | |
| <hr/> | | | | |
| <i>School-Linked Services</i> | | | | |
| SAN FRANCISCO FOUNDATION Sacramento, California <i>For the Foundation Consortium for School-Linked Services (Awarded in 1995 for \$500,000)</i> | | 250,000 | 250,000 | |
| <hr/> | | | | |
| <i>Educational Policy</i> | | | | |
| ■ AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL SCIENCES Palo Alto, California <i>For planning an evaluation of California's Class-Size Reduction Program</i> | 35,000 | | 35,000 | |
| <hr/> | | | | |
| ■ CALIFORNIA STATE UNIVERSITY, SACRAMENTO, INSTITUTE FOR EDUCATION REFORM Sacramento, California <i>For the California Education Policy Seminar</i> | 15,000 | | 15,000 | |
| <hr/> | | | | |
| UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California <i>For the Educational Administration Policy Studies' longitudinal study of California's School Restructuring Initiative (Awarded in 1995 for \$300,000)</i> | | 100,000 | 100,000 | |
| <i>For Policy Analysis for California Education (Awarded in 1996 for \$600,000)</i> | | 350,000 | | 350,000 |
| <hr/> | | | | |
| ■ EDUCATION COMMISSION OF THE STATES Denver, Colorado <i>For the Investing for Student Achievement Program</i> | 100,000 | | 50,000 | 50,000 |
| <hr/> | | | | |
| FOUNDATION FOR JOINT VENTURE: SILICON VALLEY NETWORK, TWENTY- FIRST CENTURY EDUCATION INITIATIVE San Jose, California <i>For the Professional Development Innovation Program (Awarded in 1995 for \$1,000,000)</i> | | 815,000 | | 815,000 |
| <hr/> | | | | |

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Child Development Program</i> | | | | |
| ■ DEVELOPMENTAL STUDIES CENTER | | | | |
| Oakland, California | | | | |
| <i>For the Child Development Project</i> | 600,000 | | 200,000 | 400,000 |
| <i>Other</i> | | | | |
| AMERICAN ASSOCIATION FOR HIGHER EDUCATION | | | | |
| Washington, D.C. | | | | |
| <i>For the project to improve university teaching (Awarded in 1995 for \$250,000)</i> | | 130,000 | 65,000 | 65,000 |
| BAY AREA COALITION OF ESSENTIAL SCHOOLS | | | | |
| Redwood City, California | | | | |
| <i>For the project on building community to support school reform and restructuring (Awarded in 1994 for \$265,000)</i> | | 75,000 | 75,000 | |
| BAY AREA SCHOOL REFORM COLLABORATIVE | | | | |
| San Francisco, California | | | | |
| <i>For the Hewlett-Annenberg Challenge for school reform in the Bay Area (Awarded in 1995 for \$25,000,000)</i> | | 15,000,000 | | 15,000,000 |
| ■ CENTER FOR ACADEMIC INTEGRITY | | | | |
| Durham, North Carolina | | | | |
| <i>For general support</i> | 150,000 | | 75,000 | 75,000 |
| ■ COALITION OF ESSENTIAL SCHOOLS | | | | |
| Providence, Rhode Island | | | | |
| <i>For general support</i> | 1,000,000 | | | 1,000,000 |
| EDUCATION WRITERS ASSOCIATION | | | | |
| Washington, D.C. | | | | |
| <i>For a study of education reporting (Awarded in 1996 for \$25,000)</i> | | 25,000 | 25,000 | |
| FAR WEST LABORATORY FOR EDUCATIONAL RESEARCH AND DEVELOPMENT | | | | |
| San Francisco, California | | | | |
| <i>For the HERALD project at San Francisco's Balboa High School, with emphasis on the freshman year (Awarded in 1994 for \$205,000)</i> | (40,000)* | 40,000 | | |
| ■ KCET, COMMUNITY TELEVISION OF SOUTHERN CALIFORNIA | | | | |
| Los Angeles, California | | | | |
| <i>For the production of a PBS series by Stone Lantern Films on American public schools</i> | 200,000 | | 200,000 | |

| Education: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| RAVENSWOOD CITY SCHOOL DISTRICT | | | | |
| East Palo Alto, California | | | | |
| <i>For the purchase of native-language library materials</i> | | | | |
| <i>(Awarded in 1994 for \$100,000)</i> | | | | |
| | | 50,000 | | 50,000 |
| SAN FRANCISCO STATE UNIVERSITY, SCHOOL OF EDUCATION | | | | |
| San Francisco, California | | | | |
| <i>For the University School Support for Education Reform Project</i> | | | | |
| <i>(Awarded in 1995 for \$300,000)</i> | | | | |
| | | 100,000 | | 100,000 |
| STANFORD UNIVERSITY | | | | |
| Stanford, California | | | | |
| <i>For the Stanford Program on International and Cross-Cultural Education's project entitled "U.S.-Mexico Relations: A Curriculum for Middle School Students"</i> | | | | |
| <i>(Awarded in 1996 for \$215,000)</i> | | | | |
| | | 140,000 | | 140,000 |
| <i>For the School of Education's Program for Complex Instruction</i> | | | | |
| <i>(Awarded in 1996 for \$320,000)</i> | | | | |
| | | 220,000 | 110,000 | 110,000 |
| TOTAL EDUCATION | \$7,933,000* | \$22,080,000 | \$6,394,000 | \$23,619,000 |

*1997 authorizations (\$7,973,000) minus cancellation (\$40,000).

Environment

The Foundation focuses environmental grantmaking on the American West, where archaic resource management practices and surging population growth threaten fragile ecosystems from the temperate rainforests of the Pacific Northwest to the arid rangelands of the Colorado Plateau. The goals of the program are to increase the fund of policy options available for addressing resource and growth management problems in the region; to improve the quality of public debate surrounding these issues; and to promote community-based problem solving that achieves equitable and sustainable economic development without sacrificing environmental values.

The strategy for achieving these goals is fourfold: (1) support the development and dissemination of nonpartisan policy analysis that offers constructive options to contending groups; (2) promote efforts to improve public awareness of environmental conditions in the region; (3) encourage experimentation with methods other than litigation and legislative advocacy for achieving environmental solutions; and (4) emphasize sound economic development as integral to environmental preservation in the West. The Foundation retains an interest in selective land acquisition projects and reserves a portion of the environment program budget for exceptional organizations whose work does not necessarily reflect a Western focus.

Grants are directed to organizations working on issues that affect the fragile ecosystems lying west of the 100th meridian, the traditional boundary demarcating the arid West from the temperate Eastern weather zone of North America. Specifically, grants are awarded for work in Alaska, Arizona, California, Colorado, Hawaii, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, British Columbia, and Alberta. Support is also considered for work on U.S.-Mexico border environmental issues in the U.S. Southwest and bordering Mexican states.

The Foundation emphasizes general support grants aimed at building the institutional capacity of highly promising nonprofits that may lack the ability to generate unrestricted support from

Program Description

memberships, sales of materials, and other sources. Priority is given to organizations serving the entire region or whole ecosystems within the region. The specific components of the program are as follows.

Policy Analysis. The Foundation supports organizations that produce policy-oriented studies on important environmental issues affecting the region. Candidates for support should present the following characteristics: a reputation for intellectual rigor and objectivity, an interdisciplinary staff, a variety of widely circulated publications and other products, and a demonstrable capability to influence policy outcomes.

Education of Decision Makers and the General Public. The Foundation supports organizations engaged in the broad dissemination of nonpartisan information on Western environmental issues to decision makers and the general public.

Decision-Making Processes. The Foundation assists organizations that demonstrate, document, or study how environmental decision-making processes could be improved in the West. Support is focused primarily on organizations engaged in brokering, facilitating, or mediating negotiations between contending interests.

Rural Communities and the Environment. The Foundation supports organizations working on the integration of rural community development and environmental protection through a combination of scientific research, economic development strategies, and conservation activities of regional significance.

Growth Management. Recognizing that unchecked patterns of growth in the urban and suburban West have accelerated the degradation of biological and physical systems throughout the region, the Foundation awards grants to organizations that seek to improve growth management policies and practices through strategies involving natural resource protection.

Land Preservation. In exceptional cases, the Foundation supports efforts on a national scale to acquire or preserve unique, ecologically significant land in the West.

In order to obviate unprofitable effort on the part of applicants, we emphasize that the environment program does not support proposals in the following areas: basic research; capital construction; conferences, symposia, or workshops; environmental education curricula (K-12 or adult); and museum facilities, exhibits, or programs. Similarly, this program does not make awards to individuals, organizations outside the United States, local land trusts, or groups that utilize advocacy or litigation as a central strategy for achieving organizational aims.

| Environment: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| <i>Policy Analysis</i> | | | | |
| ■ AMERICAN RIVERS Washington, D.C. <i>For the Western Program</i> | \$150,000 | | | \$150,000 |
| UNIVERSITY OF CALIFORNIA, DAVIS, INSTITUTE OF TRANSPORTATION STUDIES Davis, California <i>For general support (Awarded in 1994 for \$200,000)</i> | | 110,000 | 60,000 | 50,000 |
| ■ CENTER FOR ENERGY EFFICIENCY AND RENEWABLE TECHNOLOGIES Sacramento, California <i>For the California Regulatory Research Project</i> | 250,000 | | 125,000 | 125,000 |
| UNIVERSITY OF COLORADO, BOULDER, NATURAL RESOURCES LAW CENTER Boulder, Colorado <i>For the Western Lands Program (Awarded in 1994 for \$200,000)</i> | | 65,000 | 65,000 | |
| ENVIRONMENTAL AND ENERGY STUDY INSTITUTE Washington, D.C. <i>For general support (Awarded in 1995 for \$150,000)</i> | | 40,000 | 40,000 | |
| ■ ENVIRONMENTAL DEFENSE FUND Oakland, California <i>For the Western Water Resources Program</i> | 200,000 | | 100,000 | 100,000 |
| INSTITUTE FOR THE NATURAL HERITAGE San Francisco, California <i>To support programs in Western water policy reform and environmental problem-solving (Awarded in 1995 for \$150,000)</i> | | 25,000 | 25,000 | |
| ■ LEWIS AND CLARK COLLEGE, NORTHWESTERN SCHOOL OF LAW Portland, Oregon <i>For the Northwest Water Law and Policy Project</i> | 150,000 | | 50,000 | 100,000 |
| ■ PACIFIC INSTITUTE FOR STUDIES IN DEVELOPMENT, ENVIRONMENT, AND SECURITY Oakland, California <i>For general support</i> | 150,000 | | 75,000 | 75,000 |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Environment: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| PACIFIC RIVERS COUNCIL Eugene, Oregon <i>For general support (Awarded in 1996 for \$120,000)</i> | | 80,000 | 40,000 | 40,000 |
| PINCHOT INSTITUTE FOR CONSERVATION Washington, D.C. <i>For general support of the activities in the western United States (Awarded in 1996 for \$100,000)</i> | | 50,000 | 50,000 | |
| ■ PLANNING AND CONSERVATION LEAGUE Sacramento, California <i>For general support and for the California Environmental Dialogue</i> | 200,000 | | 90,000 | 110,000 |
| ■ POINT REYES BIRD OBSERVATORY Stinson Beach, California <i>For the Western Riparian Protection Joint Venture Project</i> | 150,000 | | 35,000 | 115,000 |
| RESOURCES FOR THE FUTURE Washington, D.C. <i>For general support (Awarded in 1992 for \$250,000)</i> | | 65,000 | 65,000 | |
| UNIVERSITY OF WASHINGTON, GRADUATE SCHOOL OF PUBLIC AFFAIRS Seattle, Washington <i>For general support of the Northwest Policy Center (Awarded in 1995 for \$150,000)</i> | | 115,000 | 115,000 | |
| <i>Education of Decision Makers and the General Public</i> | | | | |
| ASSOCIATION OF FOREST SERVICE EMPLOYEES FOR ENVIRONMENTAL ETHICS Eugene, Oregon <i>For the Conflict Resolution and Security Training Program and for the Green Grazing Program (Awarded in 1996 for \$100,000)</i> | | 25,000 | 25,000 | |
| ■ DESKTOP ASSISTANCE Helena, Montana <i>For the Conservation GIS Consortium</i> | 300,000 | | 150,000 | 150,000 |
| EDGE: THE ALLIANCE OF ETHNIC AND ENVIRONMENTAL ORGANIZATIONS San Francisco, California <i>For general support (Awarded in 1996 for \$150,000)</i> | | 25,000 | | 25,000 |

| Environment: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ KTEH 54 PUBLIC TELEVISION San Jose, California <i>For the final production costs of the documentary "Cadillac Desert"</i> | 50,000 | | 50,000 | |
| WORLD MEDIA FOUNDATION Cambridge, Massachusetts <i>For the San Francisco bureau of Living on Earth (Awarded in 1996 for \$200,000)</i> | | 50,000 | | 50,000 |
| ZOOLOGICAL SOCIETY OF SAN DIEGO San Diego, California <i>For public education about the Natural Communities Conservation Planning Process (Awarded in 1995 for \$140,000)</i> | | 50,000 | 50,000 | |

Decision-Making Processes

| | | | | |
|---|---------|--------|--------|--------|
| UNIVERSITY OF ALASKA, ANCHORAGE, ENVIRONMENT AND NATURAL RESOURCES INSTITUTE Anchorage, Alaska <i>For support of the Environmental Conflict Resolution Program (Awarded in 1996 for \$200,000)</i> | | 50,000 | 50,000 | |
| ■ ARIZONA SUPREME COURT Tucson, Arizona <i>For the Dividing the Waters Project</i> | 150,000 | | 75,000 | 75,000 |
| COLORADO CENTER FOR ENVIRONMENTAL MANAGEMENT Denver, Colorado <i>For general support (Awarded in 1996 for \$200,000)</i> | | 75,000 | | 75,000 |
| ■ FRIENDS OF THE RIVER Sacramento, California <i>For the Environmental Water Caucus</i> | 50,000 | | 50,000 | |
| INSTITUTE FOR FISHERIES RESOURCES San Francisco, California <i>For the Upper Butte Creek Salmon Habitat Restoration Project (Awarded in 1996 for \$20,000)</i> | | 20,000 | 20,000 | |
| MISSOURI BOTANICAL GARDEN Saint Louis, Missouri <i>For the Flora of North America Program (Awarded in 1996 for \$100,000)</i> | | 50,000 | 25,000 | 25,000 |

| Environment: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ STATE OF MONTANA Helena, Montana <i>For general support of the Montana Consensus Council</i> | 100,000 | | 50,000 | 50,000 |
| ■ NATURE CONSERVANCY San Francisco, California <i>For the Natural Communities Conservation Planning Project and for the Californians and the Land Project</i> | 600,000 | | 300,000 | 300,000 |
| NORTHERN LIGHTS INSTITUTE Missoula, Montana <i>For general support (Awarded in 1996 for \$150,000)</i> | | 50,000 | | 50,000 |
| RIVER NETWORK Portland, Oregon <i>For the Western component of the Watershed 2000 Program (Awarded in 1996 for \$200,000)</i> | | 130,000 | 70,000 | 60,000 |
| ■ SIERRA NEVADA ALLIANCE Mammoth Lakes, California <i>For general support</i> | 200,000 | | 100,000 | 100,000 |
| ■ THOREAU INSTITUTE Las Cruces, New Mexico <i>For the Public Rangelands Consensus Project</i> | 60,000 | | 40,000 | 20,000 |
| UNIVERSITY OF WYOMING Laramie, Wyoming <i>For the Institute for Environment and Natural Resource Research Policy (Awarded in 1996 for \$150,000)</i> | | 75,000 | 75,000 | |

Rural Communities and the Environment

| | | | | |
|---|---------|--------|---------|---------|
| ■ ALASKA CONSERVATION FOUNDATION Anchorage, Alaska <i>For support of the Community Development Grantmaking Fund</i> | 250,000 | | 125,000 | 125,000 |
| CENTER FOR HOLISTIC RESOURCE MANAGEMENT Albuquerque, New Mexico <i>For general support (Awarded in 1996 for \$100,000)</i> | | 50,000 | 50,000 | |
| ■ FOREST TRUST Santa Fe, New Mexico <i>For the Community Forestry Program</i> | 150,000 | | 50,000 | 100,000 |

| Environment: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ GRAND CANYON TRUST Flagstaff, Arizona <i>For general support</i> | 300,000 | | 100,000 | 200,000 |
| ■ INSTITUTE FOR SUSTAINABLE FORESTRY Redway, California <i>For general support</i> | 100,000 | | 50,000 | 50,000 |
| ■ NATIONAL FISH AND WILDLIFE FOUNDATION Washington, D.C. <i>For the Sacramento office and for Western projects</i> | 225,000 | | 100,000 | 125,000 |
| RAILS TO TRAILS CONSERVANCY Washington, D.C. <i>For the California field office (Awarded in 1996 for \$130,000)</i> | | 60,000 | 60,000 | |
| ROGUE INSTITUTE FOR ECOLOGY AND ECONOMY Ashland, Oregon <i>For general support (Awarded in 1995 for \$80,000)</i> | | 40,000 | 40,000 | |
| ■ SIERRA BUSINESS COUNCIL Truckee, California <i>For general support</i> | 150,000 | | 50,000 | 100,000 |
| ■ SONORAN INSTITUTE Tucson, Arizona <i>For general support</i> | 200,000 | | | 200,000 |
| ■ SUSTAINABLE NORTHWEST Portland, Oregon <i>For general support</i> | 100,000 | | 50,000 | 50,000 |
| ■ WATERSHED RESEARCH AND TRAINING CENTER Hayfork, California <i>For general support</i> | 80,000 | | 40,000 | 40,000 |

Land Preservation

| | | | | |
|--|---------|--|---------|---------|
| ■ NATURE CONSERVANCY OF UTAH Salt Lake City, Utah <i>For the Dugout Ranch Conservation Project</i> | 100,000 | | 100,000 | |
| ■ TROUT UNLIMITED, COLDWATER CONSERVATION FUND Arlington, Virginia <i>For the Western Water Project</i> | 285,000 | | 95,000 | 190,000 |

| Environment: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Growth Management</i> | | | | |
| ■ CALIFORNIA STATE UNIVERSITY, FRESNO Fresno, California <i>For the Central California Futures Institute</i> | 20,000 | | 20,000 | |
| CENTER FOR RESOURCE MANAGEMENT Denver, Colorado <i>For the production of the documentary "The West at Risk" (Awarded in 1996 for \$50,000)</i> | | 50,000 | 50,000 | |
| CLAREMONT UNIVERSITY CENTER Claremont, California <i>For the Sustainable Communities Program (Awarded in 1996 for \$175,000)</i> | | 75,000 | | 75,000 |
| ■ CONGRESS FOR THE NEW URBANISM San Francisco, California <i>For general support</i> | 200,000 | | 100,000 | 100,000 |
| EARTH TRUST FOUNDATION Santa Monica, California <i>For the Southern California Council on Environment and Development (Awarded in 1996 for \$95,000)</i> | | 45,000 | 45,000 | |
| ■ ENDANGERED HABITATS LEAGUE Los Angeles, California <i>For general support</i> | 50,000 | | 50,000 | |
| ■ GREATER MODESTO COMMUNITY FOUNDATION Modesto, California <i>For planning support related to the Great Valley Center</i> | 30,000 | | 30,000 | |
| ■ GREAT VALLEY CENTER Modesto, California <i>For general support</i> | 1,000,000 | | 500,000 | 500,000 |
| GREENBELT ALLIANCE San Francisco, California <i>For general support (Awarded in 1996 for \$200,000)</i> | | 125,000 | 75,000 | 50,000 |

| Environment: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| <i>Other</i> | | | | |
| UNIVERSITY OF CALIFORNIA, BERKELEY, ECOLOGY LAW QUARTERLY Berkeley, California <i>For the 1997 symposium "The Ecosystem Approach: New Departures for Land and Water"</i> <i>(Awarded in 1996 for \$10,000)</i> | | 10,000 | 10,000 | |
| LAND INSTITUTE Salina, Kansas <i>For general support</i> <i>(Awarded in 1996 for \$210,000)</i> | | 70,000 | | 70,000 |
| NEW YORK BOTANICAL GARDEN Bronx, New York <i>For support of the Intermountain Flora Project</i> <i>(Awarded in 1995 for \$150,000)</i> | | 40,000 | 40,000 | |
| RESOLVE, CENTER FOR ENVIRONMENTAL DISPUTE RESOLUTION Washington, D.C. <i>For research, publication, and outreach activities</i> <i>(Awarded in 1994 for \$100,000)</i> | | 30,000 | 30,000 | |
| TOTAL ENVIRONMENT | \$6,000,000 | \$1,745,000 | \$3,925,000 | \$3,820,000 |

Family and Community Development

Through its work in the family and community development program, the Foundation seeks to improve the functioning of families and the livability of neighborhoods in the San Francisco Bay Area. To this end, the Foundation supports local and regional organizations that serve Bay Area communities and a limited number of national organizations whose work directly benefits local and regional efforts. Grants are made in five categories.

Neighborhood Improvement. The Foundation supports multi-year, comprehensive, cross-disciplinary efforts of community-based partnerships aimed at improving the human, economic, and physical conditions in selected neighborhoods. Involving a range of key stakeholders—such as families and individuals, civic and fraternal organizations, religious institutions, community-based organizations, private industry, and public officials—the neighborhood improvement initiative is designed to revitalize low-income communities through a concentration of flexible resources to be invested in local plans that address critical neighborhood issues. Examples of such eligible activities supported by local plans include, but are not limited to, strengthening formal and informal family support structures, creating jobs and affordable housing, and enhancing the capacity of neighborhood residents to engage in community development. Administered through local community foundations, support will be provided for planning, training, and technical assistance, program implementation, and evaluation efforts associated with neighborhood improvement activities.

Community Service. The Foundation supports school- and community-based K–12 and a limited number of higher education service learning programs. In addition, it provides support to locally sponsored national service activities that involve young people in strengthening the ability of neighborhoods to respond to critical human development, public safety, and environmental issues.

Responsible Fatherhood and Male Involvement. The Foundation supports programs that engage or re-engage fathers in parenting and the support of the family and that promote adult male involvement in the lives of children and youth. Emphasis is placed on efforts that prevent early parenthood for young men, prepare men for the responsibilities of fatherhood, and foster the emotional connection between fathers and their children.

Program Description

Transition to Work. The Foundation supports programs that help to mitigate the impact of the reduction or elimination of social safety-net benefits to poor and very poor families. Particular emphasis is placed on comprehensive programs that respond to the employment, education and training, child care, and other needs of families needing assistance in making the transition from public benefit programs to self-sufficiency.

Employment Development. The Foundation supports programs that expand job and wage opportunities for low-skilled, low-wage workers through strategies that target growth sectors of the economy. Primary emphasis is placed on partnerships among industry, government, job training programs, educational institutions, and community-based organizations.

Limited program funds dictate that only a few of the requests reviewed can be supported. To help avoid unprofitable effort on the part of applicants, we call attention to the fact that the Foundation does not make grants in the following fields: physical or mental health; law and related fields; criminal justice or juvenile delinquency; drug and alcohol addiction; or the problems of the homeless, elderly, or handicapped. These exclusions derive not from a lack of sympathy with the needs in these fields but from the Foundation's determination to focus its resources.

| Family and Community Development: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Community Development</i> | | | | |
| CENTER FOR COMMUNITY CHANGE | | | | |
| Washington, D.C. | | | | |
| <i>For the Bay Area and national offices (Awarded in 1995 for \$225,000)</i> | | | | |
| | | \$75,000 | \$75,000 | |
| COMMUNITY FOUNDATION SILICON VALLEY | | | | |
| San Jose, California | | | | |
| <i>For the Neighborhood Grants Program (Awarded in 1996 for \$160,000)</i> | | | | |
| | | 100,000 | 50,000 | 50,000 |
| JUBILEE WEST | | | | |
| Oakland, California | | | | |
| <i>For general support (Awarded in 1995 for \$150,000)</i> | | | | |
| | | 37,500 | | 37,500 |
| UNITED INDIAN NATIONS | | | | |
| Oakland, California | | | | |
| <i>For the American Indian Community Development Corporation (Awarded in 1996 for \$120,000)</i> | | | | |
| | | 80,000 | 40,000 | 40,000 |

Transition to Work

| | | | | |
|---|---------|--|---------|---------|
| ■ OPPORTUNITIES INDUSTRIALIZATION CENTER WEST | | | | |
| Menlo Park, California | | | | |
| <i>For the Meaningful Employment Needs Domestic Support Project</i> | | | | |
| | 300,000 | | 150,000 | 150,000 |
| ■ WIDER OPPORTUNITIES FOR WOMEN | | | | |
| Washington, D.C. | | | | |
| <i>For the California State Organizing for Family Economic Self-Sufficiency Project</i> | | | | |
| | 200,000 | | 100,000 | 100,000 |

Affordable Housing

| | | | | |
|---|--|---------|---------|--------|
| CALIFORNIA HOUSING PARTNERSHIP CORPORATION | | | | |
| San Francisco, California | | | | |
| <i>For Bay Area activities (Awarded in 1996 for \$100,000)</i> | | | | |
| | | 60,000 | 30,000 | 30,000 |
| LOCAL INITIATIVES SUPPORT CORPORATION | | | | |
| New York, New York | | | | |
| <i>For general support of the national and Bay Area offices, and for Bay Area activities of the National Community Development Initiative (Awarded in 1995 for \$500,000)</i> | | | | |
| | | 160,000 | 160,000 | |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Family and Community Development: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| LOW-INCOME HOUSING FUND San Francisco, California <i>For Bay Area activities</i> <i>(Awarded in 1995 for \$200,000)</i> | | 65,000 | 65,000 | |

Community Service

| | | | | |
|---|---------|--------|---------|---------|
| <ul style="list-style-type: none"> ■ UNIVERSITY OF CALIFORNIA, DIVISION OF AGRICULTURE AND NATURAL RESOURCES Oakland, California <i>For the Cooperative Extension in Santa Clara County Project Excel Program</i> | 50,000 | | 50,000 | |
| <ul style="list-style-type: none"> ■ CITY YEAR San Jose, California <i>For the San Jose/Silicon Valley Program</i> | 200,000 | | 100,000 | 100,000 |
| <ul style="list-style-type: none"> ■ COMMUNITY EDUCATIONAL SERVICES OF SAN FRANCISCO San Francisco, California <i>For the Learning Through Service Project</i> | 50,000 | | 50,000 | |
| <ul style="list-style-type: none"> ■ EAST BAY CONSERVATION CORPS Oakland, California <i>For Project YES</i> | 75,000 | | 37,500 | 37,500 |
| <ul style="list-style-type: none"> ■ MID-PENINSULA YWCA Palo Alto, California <i>For the Youth Community Service Program</i> | 65,000 | | 35,000 | 30,000 |
| <ul style="list-style-type: none"> ■ NATIONAL ASSOCIATION OF SERVICE AND CONSERVATION CORPS Washington, D.C. <i>For general support</i> <i>(Awarded in 1995 for \$100,000)</i> | | 50,000 | 50,000 | |
| <ul style="list-style-type: none"> ■ NORTHERN CALIFORNIA GRANTMAKERS San Francisco, California <i>For general support of the National Service Task Force and Collaborative Fund</i> | 100,000 | | 100,000 | |
| <ul style="list-style-type: none"> ■ PUBLIC ALLIES, NATIONAL CENTER FOR CAREERS IN PUBLIC LIFE San Jose, California <i>For general support</i> | 65,000 | | 32,500 | 32,500 |
| <ul style="list-style-type: none"> ■ SAN FRANCISCO STATE UNIVERSITY San Francisco, California <i>For the Bay Area Homelessness Program</i> <i>(Awarded in 1996 for \$150,000)</i> | | 75,000 | | 75,000 |

| Family and Community Development: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ SAN FRANCISCO URBAN SERVICE PROJECT San Francisco, California <i>For general support</i> | 65,000 | | 35,000 | 30,000 |
| STANFORD UNIVERSITY, HAAS CENTER FOR PUBLIC SERVICE Stanford, California <i>For the program to integrate public service with academic study (Awarded in 1996 for \$200,000)</i> | | 120,000 | 60,000 | 60,000 |
| ■ VOLUNTEER CENTER OF SAN FRANCISCO San Francisco, California <i>For the Linking San Francisco Program</i> | 75,000 | | 37,500 | 37,500 |
| <i>Neighborhood Improvement</i> | | | | |
| ■ COMMUNITY FOUNDATION SILICON VALLEY San Jose, California <i>For the Mayfair Neighborhood Improvement Initiative</i> | 945,000 | | | 945,000 |
| <i>Responsible Fatherhood and Male Involvement</i> | | | | |
| ■ BAY AREA BLACK UNITED FUND Oakland, California <i>For the Male Advocacy in Pregnancy and Parenting Coalition</i> | 30,000 | | 30,000 | |
| COMMUNITY DEVELOPMENT INSTITUTE Palo Alto, California <i>For the Black Male Rebirth Program (Awarded in 1996 for \$85,000)</i> | | 40,000 | 40,000 | |
| EARLY CHILDHOOD MENTAL HEALTH PROGRAM Richmond, California <i>For the Time-for-Dads Program (Awarded in 1996 for \$60,000)</i> | | 30,000 | | 30,000 |
| FAIRFAX-SAN ANSELMO CHILDREN'S CENTER Fairfax, California <i>For the Bay Area Male Involvement Network (Awarded in 1996 for \$80,000)</i> | | 40,000 | 40,000 | |
| ■ FAMILY SERVICE AGENCY OF SAN FRANCISCO, TEENAGE PREGNANCY AND PARENTING PROJECT San Francisco, California <i>For the Together Taking Care of Business Program</i> | 60,000 | | 30,000 | 30,000 |

| Family and Community Development: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| INSTITUTE FOR THE ADVANCED STUDY OF BLACK FAMILY LIFE AND CULTURE Oakland, California <i>For the HAWK Federation</i> <i>(Awarded in 1996 for \$50,000)</i> | | 25,000 | | 25,000 |
| JEWISH FAMILY AND CHILDREN'S SERVICES San Francisco, California <i>For the Fathers Support Project</i> <i>(Awarded in 1996 for \$75,000)</i> | | 35,000 | 35,000 | |
| ■ MEXICAN AMERICAN COMMUNITY SERVICES AGENCY San Jose, California <i>For the Male Involvement Program</i> | 60,000 | | 30,000 | 30,000 |
| ■ NATIONAL CENTER FOR STRATEGIC NONPROFIT PLANNING AND COMMUNITY LEADERSHIP Washington, D.C. <i>For the Bay Area Partners for Fragile Families Project</i> | 200,000 | | 100,000 | 100,000 |
| SIMBA Oakland, California <i>For general support</i> <i>(Awarded in 1996 for \$50,000)</i> | | 25,000 | 25,000 | |

Family Support and Development

| | | | | |
|---|--|---------|---------|---------|
| COLEMAN CHILDREN AND YOUTH SERVICES San Francisco, California <i>For general support</i> <i>(Awarded in 1995 for \$175,000)</i> | | 50,000 | 50,000 | |
| EAST BAY COMMUNITY FOUNDATION Oakland, California <i>For the Interagency Children's Policy Council of Alameda County</i> <i>(Awarded in 1995 for \$100,000)</i> | | 50,000 | | 50,000 |
| FAMILY SERVICE AGENCY OF SAN FRANCISCO San Francisco, California <i>For the Visitacion Valley and for the Haight- Ashbury Family Support Centers</i> <i>(Awarded in 1996 for \$375,000)</i> | | 250,000 | 125,000 | 125,000 |
| GOOD SAMARITAN FAMILY RESOURCE CENTER OF SAN FRANCISCO San Francisco, California <i>For general support</i> <i>(Awarded in 1996 for \$80,000)</i> | | 40,000 | 40,000 | |

| Family and Community Development: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| PENINSULA COMMUNITY FOUNDATION San Mateo, California <i>For the Peninsula Partnership for Children, Youth, and Families</i> <i>(Awarded in 1995 for \$225,000)</i> | | 150,000 | 150,000 | |
| SAN JOSE MERCURY NEWS WISH BOOK FUND San Jose, California <i>For general support</i> <i>(Awarded in 1996 for \$10,000)</i> | | 10,000 | 10,000 | |
| URBAN STRATEGIES COUNCIL Oakland, California <i>For general support</i> <i>(Awarded in 1996 for \$325,000)</i> | | 200,000 | | 200,000 |
| ■ WOMEN'S INITIATIVE FOR SELF-EMPLOYMENT San Francisco, California <i>For general support</i> | 175,000 | | 100,000 | 75,000 |
| <i>Bridge Grants</i> | | | | |
| ■ BRIDGE HOUSING CORPORATION San Francisco, California <i>For general support</i> | 150,000 | | 50,000 | 100,000 |
| ■ CALIFORNIA COMMUNITY ECONOMIC DEVELOPMENT ASSOCIATION Oakland, California <i>For general support</i> | 90,000 | | 45,000 | 45,000 |
| ■ CALIFORNIA REINVESTMENT COMMITTEE San Francisco, California <i>For general support</i> | 80,000 | | 40,000 | 40,000 |
| ■ CHILDREN NOW Oakland, California <i>For general support</i> | 150,000 | | 75,000 | 75,000 |
| ■ CHINATOWN NEIGHBORHOOD IMPROVEMENT RESOURCE CENTER San Francisco, California <i>For the Welfare Reform Planning Project</i> | 75,000 | | 40,000 | 35,000 |
| ■ EAST PALO ALTO COMMUNITY ALLIANCE AND NEIGHBORHOOD DEVELOPMENT ORGANIZATION East Palo Alto, California <i>For general support</i> | 80,000 | | 40,000 | 40,000 |
| ■ GREATER BAY AREA FAMILY RESOURCE NETWORK San Francisco, California <i>For general support</i> | 125,000 | | 65,000 | 60,000 |

| Family and Community Development: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ INNOVATIVE HOUSING FOR COMMUNITY San Francisco, California <i>For phase-out operations and housing preservation</i> | 35,000 | | 35,000 | |
| ■ LOCAL INITIATIVES SUPPORT CORPORATION New York, New York <i>For the Bay Area Partners in Community-Building Program</i> | 240,000 | | 80,000 | 160,000 |
| ■ NATIONAL ASSOCIATION OF COMMUNITY DEVELOPMENT LOAN FUNDS Philadelphia, Pennsylvania <i>For general support</i> | 100,000 | | 50,000 | 50,000 |
| ■ NATIONAL ECONOMIC DEVELOPMENT AND LAW CENTER Oakland, California <i>For the Community Economic Development Support Collaborative</i> | 350,000 | | 175,000 | 175,000 |
| ■ NONPROFIT HOUSING ASSOCIATION OF NORTHERN CALIFORNIA San Francisco, California <i>For general support</i> | 95,000 | | 50,000 | 45,000 |
| ■ PARENT SERVICES PROJECT Fairfax, California <i>For the South Bay Program</i> | 60,000 | | 30,000 | 30,000 |
| ■ SACRAMENTO VALLEY ORGANIZING COMMUNITY Sacramento, California <i>For general support</i> | 35,000 | | 35,000 | |
| ■ SAN FRANCISCO HOUSING DEVELOPMENT CORPORATION San Francisco, California <i>For general support</i> | 40,000 | | 40,000 | |
| ■ SONOMA COUNTY FAITH-BASED COMMUNITY ORGANIZING PROJECT Santa Rosa, California <i>For general support</i> | 35,000 | | 35,000 | |
| ■ URBAN ECOLOGY Oakland, California <i>For the Community Design Consulting Program</i> | 40,000 | | 40,000 | |

| Family and Community Development: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| <i>Other</i> | | | | |
| ■ CALIFORNIA ASSOCIATION OF NONPROFITS Los Angeles, California <i>For the 1997 Annual Conference</i> | 5,000 | | 5,000 | |
| CENTER ON BUDGET AND POLICY PRIORITIES Washington, D.C. <i>For the Bay Area expansion of the WIC Medicaid and the Earned Income Tax Credit outreach campaigns (Awarded in 1994 for \$225,000)</i> | | 75,000 | 75,000 | |
| CHRONICLE SEASON OF SHARING FUND San Francisco, California <i>For general support (Awarded in 1996 for \$50,000)</i> | | 50,000 | 50,000 | |
| ■ <i>For general support</i> | 50,000 | | 50,000 | |
| ■ COMMUNITY FOUNDATION SILICON VALLEY San Jose, California <i>For the Palo Alto Weekly Holiday Fund</i> | 30,000 | | 30,000 | |
| NORTHERN CALIFORNIA GRANTMAKERS San Francisco, California <i>For the AIDS Task Force (Awarded in 1996 for \$150,000)</i> | | 75,000 | | 75,000 |
| TOTAL FAMILY AND COMMUNITY DEVELOPMENT | \$4,580,000 | \$1,967,500 | \$3,197,500 | \$3,350,000 |

Performing Arts

The Hewlett Foundation's performing arts program entertains applications from professional dance, music, opera/musical theater, and theater companies as well as organizations that present the performing arts. In addition, the Foundation supports arts councils that serve San Francisco Bay Area communities and service organizations that assist arts organizations in all disciplines. It also makes grants to support Bay Area nonprofit film and video service organizations.

The focus of Foundation support is on long-term artistic development and managerial stability achieved, primarily, through a strategy of multi-year general operating support to organizations of programmatic merit that operate without incurring annual deficits. Where appropriate, the Foundation may recommend a matching requirement and, additionally, that a portion of matching funds be applied to endowments or cash reserves to help ensure the long-term financial stability of its grantees.

The Foundation gives preference to independent nonprofit Bay Area organizations with an established record of artistic achievement, administrative capacity, audience support, and realistic planning for artistic and organizational development. Artistic training programs, particularly those focused on young people, continue to be of interest to the Foundation.

The Foundation does not support one-time events, such as seminars, conferences, festivals, or touring costs for performing companies. It regrets that it cannot currently consider requests from individual artists or from organizations in the following areas: the visual or literary arts; radio, film, or video production; the humanities; elementary or secondary school programs; college or university proposals; community art classes; and recreational, therapeutic, and social service arts programs.

Program Description

To familiarize itself with the ongoing needs and characteristics of each discipline, the Foundation groups its proposal review by performing arts category for presentation to its Board of Directors. This also assists in planning and in the consistent application of criteria. While the Foundation does not expect to be able to adhere rigidly to the following schedule, it will make every effort to do so.

| | <u>Application Submitted by:</u> | <u>For Board Action in:</u> |
|-----------------------|----------------------------------|-----------------------------|
| Music | January 6 | April |
| Theater | | |
| Opera/Musical Theater | April 1 | July |
| Dance | July 1 | October |
| Film/Video Service | | |
| Organizations | July 1 | October |

Presenting organizations, arts councils, and multidisciplinary service organizations should contact the Foundation to determine the appropriate deadline.

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Music</i> | | | | |
| ■ AMERICAN BACH SOLOISTS Belvedere, California <i>For general support</i> | \$20,000 | | \$20,000 | |
| AMERICAN COMPOSERS FORUM Saint Paul, Minnesota <i>For the Bay Area chapter and for general support (Awarded in 1996 for \$10,000)</i> | | 10,000 | 10,000 | |
| ■ AMERICAN MUSIC CENTER New York, New York <i>For general support</i> | 30,000 | | 10,000 | 20,000 |
| ■ ASSOCIATION OF CALIFORNIA SYMPHONY ORCHESTRAS Sacramento, California <i>For general support</i> | 15,000 | | 5,000 | 10,000 |
| BAY AREA WOMEN'S PHILHARMONIC San Francisco, California <i>For general support (Awarded in 1996 for \$60,000)</i> | | 30,000 | 30,000 | |
| BERKELEY SYMPHONY ORCHESTRA Berkeley, California <i>For general support (Awarded in 1996 for \$180,000)</i> | | 120,000 | 60,000 | 60,000 |
| ■ CABRILLO MUSIC FESTIVAL Santa Cruz, California <i>For general support</i> | 120,000 | | 40,000 | 80,000 |
| CALIFORNIA BACH SOCIETY Menlo Park, California <i>For general support (Awarded in 1996 for \$5,000)</i> | | 5,000 | 5,000 | |
| ■ CALIFORNIA SUMMER MUSIC San Francisco, California <i>For general support</i> | 20,000 | | 20,000 | |
| ■ CALIFORNIA SYMPHONY ORCHESTRA Walnut Creek, California <i>For general support</i> | 20,000 | | 20,000 | |
| UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California <i>For the Young Musicians Program (Awarded in 1995 for \$500,000)</i> | | 150,000 | 150,000 | |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ CARMEL BACH FESTIVAL Carmel-by-the-Sea, California <i>For general support</i> | 105,000 | | 35,000 | 70,000 |
| ■ CAZADERO PERFORMING ARTS CAMP Berkeley, California <i>For general support</i> | 20,000 | | 20,000 | |
| ■ CHAMBER MUSIC AMERICA New York, New York <i>For the Music Performance Program</i> | 60,000 | | 20,000 | 40,000 |
| ■ CLASSICAL PHILHARMONIC ORCHESTRA OF NORTHERN CALIFORNIA San Leandro, California <i>For general support</i> | 20,000 | | 20,000 | |
| ■ COMMUNITY MUSIC CENTER San Francisco, California <i>For general support</i> | 150,000 | | 50,000 | 100,000 |
| COMMUNITY SCHOOL OF MUSIC AND ARTS Mountain View, California <i>For general support (Awarded in 1996 for \$60,000)</i> | | 30,000 | 30,000 | |
| EAST BAY CENTER FOR THE PERFORMING ARTS Richmond, California <i>For general support (Awarded in 1996 for \$120,000)</i> | | 80,000 | 40,000 | 40,000 |
| FREMONT SYMPHONY ORCHESTRA Fremont, California <i>For general support (Awarded in 1996 for \$50,000)</i> | | 25,000 | 25,000 | |
| HUMANITIES WEST San Francisco, California <i>For general support (Awarded in 1995 for \$60,000)</i> | | 20,000 | 20,000 | |
| KRONOS PERFORMING ARTS ASSOCIATION San Francisco, California <i>For general support (Awarded in 1995 for \$120,000)</i> | | 40,000 | 40,000 | |
| ■ MEET THE COMPOSER New York, New York <i>For general support</i> | 30,000 | | | 30,000 |
| ■ MIDSUMMER MOZART San Francisco, California <i>For general support</i> | 20,000 | | 20,000 | |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| MUSICAL TRADITIONS San Francisco, California <i>For the Paul Drescher Ensemble (Awarded in 1995 for \$90,000)</i> | | 30,000 | 30,000 | |
| NAPA VALLEY SYMPHONY ASSOCIATION Napa, California <i>For general support (Awarded in 1996 for \$75,000)</i> | | 60,000 | 25,000 | 35,000 |
| ■ NEW CENTURY CHAMBER ORCHESTRA Mill Valley, California <i>For general support</i> | 7,000 | | 7,000 | |
| OAKLAND EAST BAY SYMPHONY Oakland, California <i>For general support (Awarded in 1996 for \$80,000)</i> | | 40,000 | 40,000 | |
| ■ OAKLAND YOUTH CHORUS Oakland, California <i>For general support</i> | 20,000 | | 20,000 | |
| ■ OTHER MINDS San Francisco, California <i>For general support</i> | 10,000 | | 10,000 | |
| PHILHARMONIA BAROQUE ORCHESTRA San Francisco, California <i>For general support (Awarded in 1996 for \$180,000)</i> | | 120,000 | 60,000 | 60,000 |
| SAN FRANCISCO CHANTICLEER San Francisco, California <i>For general support (Awarded in 1996 for \$135,000)</i> | | 90,000 | 45,000 | 45,000 |
| ■ SAN FRANCISCO CONTEMPORARY MUSIC PLAYERS San Francisco, California <i>For general support</i> | 105,000 | | 35,000 | 70,000 |
| SAN FRANCISCO EARLY MUSIC SOCIETY Berkeley, California <i>For general support (Awarded in 1995 for \$30,000)</i> | | 10,000 | 10,000 | |
| ■ SAN FRANCISCO GIRLS CHORUS San Francisco, California <i>For general support</i> | 175,000 | | 35,000 | 140,000 |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| SAN FRANCISCO SYMPHONY San Francisco, California <i>For youth education programs (Awarded in 1994 for \$450,000)</i> | | 75,000 | 75,000 | |
| ■ <i>For general support with an emphasis on education</i> | 500,000 | | 200,000 | 300,000 |
| SAN JOSE SYMPHONY ORCHESTRA San Jose, California <i>For general support</i> | 25,000 | | 25,000 | |
| ■ SANTA CRUZ COUNTY SYMPHONY ASSOCIATION Santa Cruz, California <i>For general support</i> | 45,000 | | 15,000 | 30,000 |
| SCHOLA CANTORUM Mountain View, California <i>For general support (Awarded in 1996 for \$30,000)</i> | | 20,000 | 10,000 | 10,000 |
| ■ STANFORD JAZZ WORKSHOP Stanford, California <i>For general support</i> | 20,000 | | 20,000 | |
| <i>Theater</i> | | | | |
| ■ A TRAVELING JEWISH THEATRE San Francisco, California <i>For general support</i> | 55,000 | | 20,000 | 35,000 |
| AMERICAN CONSERVATORY THEATRE San Francisco, California <i>For the Advanced Training Program (Awarded in 1995 for \$160,000)</i> | | 40,000 | 40,000 | |
| ■ <i>For general support</i> | 180,000 | | 90,000 | 90,000 |
| ■ AURORA THEATRE COMPANY Berkeley, California <i>For general support</i> | 30,000 | | 10,000 | 20,000 |
| ■ BERKELEY REPERTORY THEATRE Berkeley, California <i>For general support</i> | 200,000 | | 100,000 | 100,000 |
| BRAVA! FOR WOMEN IN THE ARTS San Francisco, California <i>For general support (Awarded in 1996 for \$50,000)</i> | | 25,000 | 25,000 | |
| ■ CALIFORNIA SHAKESPEARE FESTIVAL Berkeley, California <i>For general support</i> | 150,000 | | 50,000 | 100,000 |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| UNIVERSITY OF CALIFORNIA, SANTA CRUZ | | | | |
| Santa Cruz, California | | | | |
| <i>For Shakespeare Santa Cruz (Awarded in 1994 for \$120,000)</i> | | | | |
| ■ <i>For Shakespeare Santa Cruz</i> | 80,000 | 40,000 | 40,000 | 40,000 |
| EL TEATRO DE LA ESPERANZA | | | | |
| San Francisco, California | | | | |
| <i>For general support</i> | | | | |
| | 30,000 | | | 30,000 |
| EXIT THEATRE | | | | |
| San Francisco, California | | | | |
| <i>For general support (Awarded in 1995 for \$30,000)</i> | | | | |
| | | 10,000 | 10,000 | |
| GEORGE COATES PERFORMANCE WORKS | | | | |
| San Francisco, California | | | | |
| <i>For general support (Awarded in 1996 for \$120,000)</i> | | | | |
| | | 60,000 | 60,000 | |
| MAGIC THEATRE | | | | |
| San Francisco, California | | | | |
| <i>For general support (Awarded in 1996 for \$100,000)</i> | | | | |
| | | 50,000 | 50,000 | |
| ■ MARIN THEATRE COMPANY | | | | |
| Mill Valley, California | | | | |
| <i>For general support</i> | | | | |
| | 30,000 | | 15,000 | 15,000 |
| ■ OREGON SHAKESPEARE FESTIVAL | | | | |
| Ashland, Oregon | | | | |
| <i>For general support</i> | | | | |
| | 140,000 | | 70,000 | 70,000 |
| ■ SAN FRANCISCO MIME TROUPE | | | | |
| San Francisco, California | | | | |
| <i>For general support</i> | | | | |
| | 55,000 | | 20,000 | 35,000 |
| SAN JOSE REPERTORY THEATRE | | | | |
| San Jose, California | | | | |
| <i>For general support (Awarded in 1996 for \$175,000)</i> | | | | |
| | | 75,000 | 75,000 | |
| ■ SAN JOSE STAGE COMPANY | | | | |
| San Jose, California | | | | |
| <i>For general support</i> | | | | |
| | 18,000 | | 18,000 | |
| ■ SEW PRODUCTIONS/LORRAINE HANSBERRY THEATRE | | | | |
| San Francisco, California | | | | |
| <i>For general support</i> | | | | |
| | 55,000 | | 20,000 | 35,000 |
| ■ SHADOWLIGHT PRODUCTIONS | | | | |
| San Francisco, California | | | | |
| <i>For general support</i> | | | | |
| | 30,000 | | 10,000 | 20,000 |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| SHAKESPEARE SAN FRANCISCO San Francisco, California <i>For general support</i> <i>(Awarded in 1996 for \$150,000)</i> | | 100,000 | 50,000 | 50,000 |
| ■ THEATRE BAY AREA San Francisco, California <i>For general support</i> | 50,000 | | 25,000 | 25,000 |
| THEATRE OF YUGEN San Francisco, California <i>For general support</i> <i>(Awarded in 1996 for \$45,000)</i> | | 30,000 | 15,000 | 15,000 |
| THEATREWORKS Palo Alto, California <i>For general support</i> <i>(Awarded in 1995 for \$150,000)</i> | | 50,000 | 50,000 | |
| ■ THICK DESCRIPTION San Francisco, California <i>For general support</i> | 30,000 | | 10,000 | 20,000 |
| <i>Opera and Music Theater</i> | | | | |
| ■ AMERICAN MUSICAL THEATRE OF SAN JOSE San Jose, California <i>For general support</i> | 45,000 | | 15,000 | 30,000 |
| ■ OPERA AMERICA Washington, D.C. <i>For general support</i> | 30,000 | | 10,000 | 20,000 |
| ■ OPERA SAN JOSE San Jose, California <i>For general support</i> | 75,000 | | 75,000 | |
| PENINSULA CIVIC LIGHT OPERA San Mateo, California <i>For general support</i> <i>(Awarded in 1996 for \$40,000)</i> | | 20,000 | 20,000 | |
| ■ POCKET OPERA San Francisco, California <i>For general support</i> | 80,000 | | 40,000 | 40,000 |
| SAN FRANCISCO OPERA ASSOCIATION San Francisco, California <i>For general support of the Opera Center</i> <i>(Awarded in 1995 for \$450,000)</i> | | 200,000 | 150,000 | 50,000 |
| WEST BAY OPERA ASSOCIATION Palo Alto, California <i>For general support</i> <i>(Awarded in 1996 for \$80,000)</i> | | 40,000 | 40,000 | |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Dance</i> | | | | |
| BERKELEY CITY BALLET Berkeley, California <i>For general support</i> <i>(Awarded in 1996 for \$35,000)</i> | | 22,500 | 12,500 | 10,000 |
| ■ CENTERSPACE DANCE FOUNDATION/ GARY PALMER DANCE COMPANY San Jose, California <i>For general support</i> | 60,000 | | 20,000 | 40,000 |
| ■ CHINESE CULTURAL PRODUCTIONS San Francisco, California <i>For general support</i> | 45,000 | | | 45,000 |
| CIRCUIT NETWORK San Francisco, California <i>For general support</i> <i>(Awarded in 1996 for \$40,000)</i> | | 20,000 | 20,000 | |
| ■ DANCE THROUGH TIME San Francisco, California <i>For general support</i> | 45,000 | | 15,000 | 30,000 |
| ■ DANCE/USA Washington, D.C. <i>For general support</i> | 60,000 | | 20,000 | 40,000 |
| DANCERS' GROUP/FOOTWORK STUDIO San Francisco, California <i>For general support</i> <i>(Awarded in 1996 for \$60,000)</i> | | 40,000 | 20,000 | 20,000 |
| ■ DELLA DAVIDSON DANCE COMPANY San Francisco, California <i>For general support</i> | 30,000 | | 10,000 | 20,000 |
| ELLEN WEBB DANCE FOUNDATION Oakland, California <i>For the Talking Dance Project</i> <i>and for general support</i> <i>(Awarded in 1994 for \$30,000)</i> | | 10,000 | 10,000 | |
| ■ FRIENDS OF OLYMPIA STATION, TANDY BEAL AND COMPANY/NEW PICKLE CIRCUS Santa Cruz, California <i>For general support</i> | 90,000 | | 30,000 | 60,000 |
| ■ GAMELAN SEKAR JAYA El Cerrito, California <i>For general support with an emphasis on</i> <i>a guest residency position</i> | 32,000 | | 12,000 | 20,000 |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ JOE GOODE PERFORMANCE GROUP San Francisco, California <i>For general support</i> | 60,000 | | 20,000 | 40,000 |
| ■ JOSE LIMON DANCE FOUNDATION New York, New York <i>For Limón West</i> | 30,000 | | 10,000 | 20,000 |
| ■ MARGARET JENKINS DANCE COMPANY San Francisco, California <i>For general support</i> | 135,000 | | 45,000 | 90,000 |
| OAKLAND BALLET Oakland, California <i>For general support (Awarded in 1995 for \$200,000)</i> | | 50,000 | 50,000 | |
| ■ <i>For general support, with a matching portion for debt elimination</i> | 150,000 | | 100,000 | 50,000 |
| OBERLIN DANCE COLLECTIVE San Francisco, California <i>For general support (Awarded in 1995 for \$150,000)</i> | | 50,000 | 50,000 | |
| PENINSULA BALLET THEATRE San Mateo, California <i>For general support (Awarded in 1996 for \$40,000)</i> | | 20,000 | | 20,000 |
| SAN FRANCISCO BALLET ASSOCIATION San Francisco, California <i>For the San Francisco Ballet School (Awarded in 1995 for \$300,000)</i> | | 75,000 | 75,000 | |
| ■ <i>For the San Francisco Ballet School</i> | 300,000 | | 150,000 | 150,000 |
| ■ SEVENTH GENERATION FUND Arcata, California <i>For North Coast tribal dances</i> | 23,000 | | | 23,000 |
| ■ SMUIN BALLETS/SF San Francisco, California <i>For general support</i> | 95,000 | | 35,000 | 60,000 |
| ZOHCO Palo Alto, California <i>For general support (Awarded in 1996 for \$90,000)</i> | | 60,000 | 30,000 | 30,000 |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| <i>Film and Video</i> | | | | |
| FILM INSTITUTE OF NORTHERN CALIFORNIA | | | | |
| Mill Valley, California | | | | |
| <i>For general support (Awarded in 1996 for \$25,000)</i> | | | | |
| | | 25,000 | 25,000 | |
| ■ <i>For general support</i> | 45,000 | | 15,000 | 30,000 |
| NATIONAL ALLIANCE FOR MEDIA ARTS AND CULTURE | | | | |
| San Francisco, California | | | | |
| <i>For general support</i> | | | | |
| | 20,000 | | 8,000 | 12,000 |
| SAN FRANCISCO CINEMATHEQUE | | | | |
| San Francisco, California | | | | |
| <i>For general support (Awarded in 1995 for \$60,000)</i> | | | | |
| | | 20,000 | 20,000 | |
| ■ SAN FRANCISCO JEWISH FILM FESTIVAL | | | | |
| San Francisco, California | | | | |
| <i>For general support</i> | | | | |
| | 35,000 | | 15,000 | 20,000 |

Supporting Services

| | | | | |
|--|---------|--------|---------|---------|
| ARTS COUNCIL OF SANTA CLARA COUNTY | | | | |
| San Jose, California | | | | |
| <i>For general support and for the regranting program (Awarded in 1996 for \$120,000)</i> | | | | |
| | | 60,000 | 60,000 | |
| CALIFORNIA LAWYERS FOR THE ARTS | | | | |
| San Francisco, California | | | | |
| <i>For general support of the San Francisco and Oakland offices (Awarded in 1995 for \$60,000)</i> | | | | |
| | | 20,000 | 20,000 | |
| ■ UNIVERSITY OF CALIFORNIA, BERKELEY | | | | |
| Berkeley, California | | | | |
| <i>For Cal Performances</i> | | | | |
| | 220,000 | | 110,000 | 110,000 |
| ■ CENTER FOR THE ARTS AT YERBA BUENA GARDENS | | | | |
| San Francisco, California | | | | |
| <i>For the performing arts and media programs</i> | | | | |
| | 50,000 | | 50,000 | |
| CITY CELEBRATION, WORLD ARTS WEST | | | | |
| San Francisco, California | | | | |
| <i>For general support (Awarded in 1996 for \$120,000)</i> | | | | |
| | | 80,000 | 40,000 | 40,000 |
| ■ CULTURAL COUNCIL OF SANTA CRUZ COUNTY | | | | |
| Aptos, California | | | | |
| <i>For general support</i> | | | | |
| | 110,000 | | 55,000 | 55,000 |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ EIGHTY LANGTON STREET/ NEW LANGTON ARTS San Francisco, California <i>For general support</i> | 40,000 | | 20,000 | 20,000 |
| ■ FORT MASON FOUNDATION San Francisco, California <i>For Cowell Theater's In Performance Series</i> | 15,000 | | | 15,000 |
| ■ GRANTMAKERS IN THE ARTS New York, New York <i>For general support</i> | 30,000 | | 10,000 | 20,000 |
| HEADLANDS ARTS CENTER Sausalito, California <i>For the performance series (Awarded in 1996 for \$30,000)</i> | | 20,000 | 10,000 | 10,000 |
| INTERSECTION FOR THE ARTS San Francisco, California <i>For general support (Awarded in 1996 for \$50,000)</i> | | 25,000 | 25,000 | |
| ■ JAZZ IN THE CITY, SAN FRANCISCO JAZZ FESTIVAL San Francisco, California <i>For general support</i> | 90,000 | | 55,000 | 35,000 |
| ■ LA PENA CULTURAL CENTER Berkeley, California <i>For general support</i> | 20,000 | | 20,000 | |
| ■ MARIN ARTS COUNCIL San Rafael, California <i>For general support</i> | 45,000 | | 15,000 | 30,000 |
| MARSH, A BREEDING GROUND FOR NEW PERFORMANCE San Francisco, California <i>For general support (Awarded in 1996 for \$45,000)</i> | | 30,000 | | 30,000 |
| MONTALVO ASSOCIATION, VILLA MONTALVO Saratoga, California <i>For general support, with a focus on classical music concerts and the Discovery Series (Awarded in 1995 for \$75,000)</i> | | 25,000 | 25,000 | |
| ■ NONPROFIT FACILITIES FUND New York, New York <i>For the Bay Area Cultural Facilities Fund</i> | 75,000 | | 25,000 | 50,000 |

| Performing Arts: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| OLD FIRST CENTER FOR THE ARTS, OLD FIRST CONCERTS San Francisco, California <i>For general support (Awarded in 1996 for \$45,000)</i> | | 30,000 | 15,000 | 15,000 |
| PAJARO VALLEY PERFORMING ARTS ASSOCIATION Watsonville, California <i>For general support</i> | 20,000 | | 20,000 | |
| SAN FRANCISCO PERFORMANCES San Francisco, California <i>For general support</i> | 255,000 | | 85,000 | 170,000 |
| SAN FRANCISCO PERFORMING ARTS LIBRARY AND MUSEUM San Francisco, California <i>For general support</i> | 40,000 | | 40,000 | |
| UNIVERSITY OF SAN FRANCISCO, INSTITUTE FOR NONPROFIT ORGANIZATION MANAGEMENT San Francisco, California <i>For the California Nonprofit Database Project</i> | 25,000 | | 25,000 | |
| STANFORD UNIVERSITY Stanford, California <i>For the Lively Arts at Stanford (Awarded in 1996 for \$60,000)</i> | | 30,000 | 30,000 | |
| STERN GROVE FESTIVAL San Francisco, California <i>For general support</i> | 60,000 | | 20,000 | 40,000 |
| THE.ART.RE.GRÜP, THE LAB San Francisco, California <i>For general support (Awarded in 1996 for \$40,000)</i> | | 20,000 | 20,000 | |
| THEATER ARTAUD San Francisco, California <i>For general support and for the National Performance Network's 1998 annual meeting</i> | 200,000 | | 70,000 | 130,000 |
| WESTERN FOLKLIFE CENTER Elko, Nevada <i>For general support</i> | 25,000 | | 25,000 | |
| TOTAL PERFORMING ARTS | \$5,475,000 | \$2,427,500 | \$4,322,500 | \$3,580,000 |

Population

Rapid population growth continues to be a significant world-wide problem, despite the impact organized family planning programs have had in reducing fertility. The Foundation has three primary goals in this area: to increase the involvement of the public and private sectors, the media, and educational institutions in population issues; to improve the delivery of family planning and related reproductive health services; and to evaluate and help replicate the impact of educational and economic development activities on fertility. U.S. population issues are also of concern but represent a smaller proportion of the Foundation's annual program budget.

Within these three priorities, the Foundation supports a range of activities. Specific interests include the following areas:

- Policy-oriented research and educational activities that inform policymakers both in the U.S. and abroad about the importance of population issues and the relevance of demographic change to other aspects of human welfare. The Foundation emphasizes efforts to expand the availability of financial resources and, through training, human resources to address population issues.
- Programs that develop and disseminate the knowledge and techniques needed to improve the quality and effectiveness of family planning activities. Support is also provided to evaluate the cost and practicality of programs that address broader reproductive health concerns in conjunction with family planning.
- Human development activities and interventions that affect fertility, such as programs that enhance women's economic and educational opportunities, improve their legal rights, diminish gender inequities, and foster female self-determination. Preference will be given to programs that include assessment of the cost and practicality of larger scale replication and evaluation of their impact on fertility behavior.
- Carefully selected research and development activities with the purpose of developing new and improved fertility control methods. The applied research and field testing needed to speed the development and availability of promising methods of fertility regulation is supported, rather than basic research.

Program Description

Grants are made primarily to U.S.-based organizations, but there are no geographic limitations on support for research, family planning projects, or training. While the focus of such activities will be on developing countries, selected U.S. organizations that engage in highly leveraged domestic family planning activities also remain eligible for support.

The Foundation generally provides organizational, rather than project, support and favors those organizations that seek to bridge the gap between research, policy formulation, and program implementation.

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Increasing Commitment to Address Population Issues</i> | | | | |
| AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE Washington, D.C. <i>For the Population and Sustainable Development Program (Awarded in 1996 for \$50,000)</i> | | | | |
| | | \$50,000 | \$50,000 | |
| AUSTRALIAN REPRODUCTIVE HEALTH FOUNDATION Weston Creek, Canberra, Australia <i>For general support (Awarded in 1996 for \$300,000)</i> | | | | |
| | | 100,000 | | 100,000 |
| CATHOLICS FOR A FREE CHOICE Washington, D.C. <i>For general support (Awarded in 1995 for \$450,000)</i> | | | | |
| | | 150,000 | 150,000 | |
| CENTER FOR REPRODUCTIVE LAW AND POLICY New York, New York <i>For the International Program (Awarded in 1996 for \$550,000)</i> | | | | |
| | | 350,000 | | 350,000 |
| ■ COMUNICACION E INFORMACION DE LA MUJER Mexico City, Mexico <i>For general support</i> | | | | |
| | 100,000 | | 35,000 | 65,000 |
| DEUTSCHE STIFTUNG WELTBEVOLKERUNG Hannover, Germany <i>For general support (Awarded in 1995 for \$200,000)</i> | | | | |
| | | 60,000 | 60,000 | |
| ■ <i>For the Austrian Population Institution and for the EuCon-Line Project</i> | | | | |
| | 270,000 | | 270,000 | |
| ■ ENVIRONMENTAL DEFENSE FUND New York, New York <i>For a policy evaluation and public education program on the links between population and climate change</i> | | | | |
| | 200,000 | | 70,000 | 130,000 |
| EQUILIBRES ET POPULATIONS Levallois-Perret Cedex, France <i>For general support (Awarded in 1995 for \$200,000)</i> | | | | |
| | | 130,000 | 70,000 | 60,000 |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| FAMILY PLANNING ASSOCIATION OF NEW ZEALAND Wellington, New Zealand <i>For a public education and information project (Awarded in 1996 for \$150,000)</i> | | 50,000 | | 50,000 |
| ■ GRUPO DE INFORMACION EN REPRODUCCION ELEGIDA Mexico City, Mexico <i>For general support</i> | 225,000 | | 75,000 | 150,000 |
| ■ INTERNATIONAL CENTER FOR RESEARCH ON WOMEN Washington, D.C. <i>For general support</i> | 150,000 | | 50,000 | 100,000 |
| INTERNATIONAL RESCUE COMMITTEE New York, New York <i>For the Women's Commission for Refugee Women and Children (Awarded in 1996 for \$250,000)</i> | | 80,000 | | 80,000 |
| ■ ITALIAN ASSOCIATION FOR WOMEN IN DEVELOPMENT Rome, Italy <i>For population activities</i> | 300,000 | | 150,000 | 150,000 |
| ■ IZAACK WALTON LEAGUE OF AMERICA Gaithersburg, Maryland <i>For population education activities</i> | 100,000 | | 35,000 | 65,000 |
| ■ JAPANESE ORGANIZATION FOR INTERNATIONAL COOPERATION IN FAMILY PLANNING Tokyo, Japan <i>For general support</i> | 100,000 | | 50,000 | 50,000 |
| ■ NATIONAL AUDUBON SOCIETY New York, New York <i>For population activities</i> | 225,000 | | 75,000 | 150,000 |
| ■ NATIONAL CAMPAIGN TO PREVENT TEEN PREGNANCY Washington, D.C. <i>For general support</i> | 250,000 | | 250,000 | |
| ■ NATIONAL WILDLIFE FEDERATION Washington, D.C. <i>For the population program</i> | 100,000 | | 35,000 | 65,000 |
| ■ PARLIAMENTARIANS FOR GLOBAL ACTION New York, New York <i>For the Empowerment of Women and Population Program</i> | 150,000 | | 50,000 | 100,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ PLANNED PARENTHOOD FEDERATION OF CANADA Ottawa, Ontario, Canada <i>For Action Canada for Population and Development</i> | 50,000 | | | 50,000 |
| ■ POPULATION ACTION INTERNATIONAL Washington, D.C. <i>For general support</i> | 900,000 | | 300,000 | 600,000 |
| POPULATION COMMUNICATIONS INTERNATIONAL South Burlington, Vermont <i>For general support (Awarded in 1996 for \$500,000)</i> | | 300,000 | 150,000 | 150,000 |
| ■ POPULATION CONCERN London, England <i>For general support</i> | 300,000 | | 100,000 | 200,000 |
| POPULATION RESOURCE CENTER Princeton, New Jersey <i>For general support (Awarded in 1995 for \$300,000)</i> | | 100,000 | 100,000 | |
| RAND CORPORATION Santa Monica, California <i>For the Labor and Population Program (Awarded in 1996 for \$1,200,000)</i> | | 400,000 | | 400,000 |
| REPRODUCTIVE HEALTH TECHNOLOGIES PROJECT Washington, D.C. <i>For general support (Awarded in 1996 for \$150,000)</i> | | 100,000 | 50,000 | 50,000 |
| SEXUALITY INFORMATION AND EDUCATION COUNCIL OF THE UNITED STATES New York, New York <i>For international activities (Awarded in 1995 for \$450,000)</i> | | 150,000 | 150,000 | |
| ■ SIERRA CLUB FOUNDATION San Francisco, California <i>For the international population program</i> | 225,000 | | 75,000 | 150,000 |
| ■ SOCIETY OF PHYSICIANS FOR REPRODUCTIVE CHOICE AND HEALTH New York, New York <i>For a national family planning initiative</i> | 50,000 | | 50,000 | |
| ■ SPANISH INTEREST GROUP ON POPULATION, REPRODUCTIVE HEALTH, AND DEVELOPMENT Barcelona, Spain <i>For general support</i> | 150,000 | | 75,000 | 75,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ UNIVERSITY OF TORONTO, PEACE AND CONFLICT STUDIES Toronto, Ontario, Canada <i>For the project "The Ingenuity Gap"</i> | 45,000 | | 45,000 | |
| ■ UNIVERSITY OF TORONTO, FACULTY OF LAW Toronto, Ontario, Canada <i>To establish a reproductive health and rights capacity development program</i> | 50,000 | | | 50,000 |
| ■ 2050 Tokyo, Japan <i>For general support</i> | 150,000 | | 75,000 | 75,000 |
| ■ VAESTOLITTO, THE FAMILY FEDERATION OF FINLAND Helsinki, Finland <i>For international population activities</i> | 350,000 | | 125,000 | 225,000 |
| ZERO POPULATION GROWTH Washington, D.C. <i>For the Population Education Program (Awarded in 1995 for \$300,000)</i> | | 100,000 | 100,000 | |

International Family Planning and Development

| | | | | |
|---|---------|---------|---------|---------|
| ■ ACADEMIA MEXICANA DE INVESTIGACION EN DEMOGRAFIA MEDICA Mexico City, Mexico <i>For general support</i> | 240,000 | | 80,000 | 160,000 |
| ■ AFRICAN MEDICAL AND RESEARCH FOUNDATION New York, New York <i>For family planning and reproductive health activities</i> | 600,000 | | 200,000 | 400,000 |
| ■ ASIA FOUNDATION San Francisco, California <i>For family planning activities in Pakistan</i> | 435,000 | | | 435,000 |
| AVSC INTERNATIONAL New York, New York <i>For general support (Awarded in 1995 for \$425,000)</i> | | 300,000 | 200,000 | 100,000 |
| ■ For a cervical cancer prevention project in Cape Town, South Africa | 50,000 | | | 50,000 |
| ■ CENTRE FOR DEVELOPMENT AND POPULATION ACTIVITIES Washington, D.C. <i>For general support</i> | 400,000 | | 135,000 | 265,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ CENTRO PARA LOS ADOLESCENTES DE SAN MIGUEL DE ALLENDE Guanajuato, Mexico <i>For general support</i> | 100,000 | | 35,000 | 65,000 |
| FEDERACION MEXICANA DE ASOCIACIONES PRIVADAS DE SALUD Y DESARROLLO COMUNITARIO Cuidad Juarez, Chihuahua, Mexico <i>For general support (Awarded in 1994 for \$200,000)</i> | | 100,000 | 50,000 | 50,000 |
| FUNDACION MEXICANA PARA LA PLANEACION FAMILIAR Mexico City, Mexico <i>For general support (Awarded in 1994 for \$300,000)</i> | | 100,000 | 100,000 | |
| ■ For general support | 300,000 | | | 300,000 |
| ■ GLOBAL FUND FOR WOMEN Palo Alto, California <i>For family planning and reproductive health activities</i> | 225,000 | | 75,000 | 150,000 |
| INTERNATIONAL PLANNED PARENTHOOD FEDERATION, WESTERN HEMISPHERE REGION New York, New York <i>For general support (Awarded in 1995 for \$300,000)</i> | | 100,000 | | 100,000 |
| ■ INTERNATIONAL WOMEN'S HEALTH COALITION New York, New York <i>For general support</i> | 405,000 | | 135,000 | 270,000 |
| IPAS Carrboro, North Carolina <i>For general support (Awarded in 1996 for \$900,000)</i> | | 600,000 | 300,000 | 300,000 |
| MARIE STOPES INTERNATIONAL Washington, D.C. <i>For international family planning and reproductive health programs (Awarded in 1995 for \$600,000)</i> | | 400,000 | 200,000 | 200,000 |
| ■ PARTNERS IN POPULATION AND DEVELOPMENT Dhaka, Bangladesh <i>For general support</i> | 300,000 | | 100,000 | 200,000 |
| PARTNERS OF THE AMERICAS Washington, D.C. <i>For family planning information and services for women (Awarded in 1996 for \$200,000)</i> | | 100,000 | 50,000 | 50,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---|---------------------------------------|-----------------------------------|---------------------------------------|
| PATHFINDER INTERNATIONAL | | | | |
| Watertown, Massachusetts | | | | |
| <i>For projects in Ethiopia, Tanzania, Uganda, and South Africa (Awarded in 1994 for \$750,000)</i> | | | | |
| | | 250,000 | 250,000 | |
| ■ PLANNED PARENTHOOD FEDERATION OF AMERICA, FAMILY PLANNING INTERNATIONAL ASSISTANCE | | | | |
| New York, New York | | | | |
| <i>For international family planning assistance</i> | | | | |
| | 600,000 | | | 600,000 |
| PLANNED PARENTHOOD OF NEW YORK CITY, MARGARET SANGER CENTER INTERNATIONAL | | | | |
| New York, New York | | | | |
| <i>For the Southern Africa Initiative (Awarded in 1994 for \$450,000)</i> | | | | |
| | | 150,000 | 150,000 | |
| ■ | <i>For the Southern Africa Initiative</i> | 450,000 | 150,000 | 300,000 |
| PLANNING ASSISTANCE | | | | |
| Washington, D.C. | | | | |
| <i>For the Population Program (Awarded in 1994 for \$450,000)</i> | | | | |
| | | 150,000 | 150,000 | |
| PROGRAM FOR APPROPRIATE TECHNOLOGY IN HEALTH | | | | |
| Seattle, Washington | | | | |
| <i>For general support (Awarded in 1995 for \$900,000)</i> | | | | |
| | | 300,000 | 300,000 | |
| SAVE THE CHILDREN FEDERATION | | | | |
| Westport, Connecticut | | | | |
| <i>For general support of the Health/ Population/Nutrition office (Awarded in 1995 for \$150,000)</i> | | | | |
| | | 100,000 | | 100,000 |
| WORLD NEIGHBORS | | | | |
| Oklahoma City, Oklahoma | | | | |
| <i>For reproductive health and family planning activities (Awarded in 1996 for \$250,000)</i> | | | | |
| | | 150,000 | 100,000 | 50,000 |
| WORLD POPULATION SOCIETY | | | | |
| Washington, D.C. | | | | |
| <i>To provide technical assistance and training for Vietnam's family planning program (Awarded in 1995 for \$200,000)</i> | | | | |
| | | 60,000 | 60,000 | |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|--|---------------------------------------|-----------------------------------|---------------------------------------|
| <i>Domestic Family Planning</i> | | | | |
| ADVOCATES FOR YOUTH | | | | |
| Washington, D.C. | | | | |
| <i>For general support (Awarded in 1995 for \$300,000)</i> | | | | |
| | | 100,000 | | 100,000 |
| NATIONAL FAMILY PLANNING AND REPRODUCTIVE HEALTH ASSOCIATION | | | | |
| Washington, D.C. | | | | |
| <i>For general support (Awarded in 1995 for \$450,000)</i> | | | | |
| | | 150,000 | 150,000 | |
| PLANNED PARENTHOOD FEDERATION OF AMERICA, AFFILIATE SERVICES CENTER/ SAN FRANCISCO | | | | |
| San Francisco, California | | | | |
| <i>For the improved marketing system (Awarded in 1996 for \$660,000)</i> | | | | |
| | | 370,000 | | 370,000 |
| <i>Population and Human Development</i> | | | | |
| ALAN GUTTMACHER INSTITUTE | | | | |
| New York, New York | | | | |
| <i>For general support (Awarded in 1996 for \$1,200,000)</i> | | | | |
| | | 800,000 | 400,000 | 400,000 |
| ■ | AMERICAN UNIVERSITY IN CAIRO | | | |
| | Cairo, Egypt | | | |
| | <i>For the Social Research Center</i> | | | |
| | 150,000 | | 50,000 | 100,000 |
| ■ | BROWN UNIVERSITY, POPULATION STUDIES AND TRAINING CENTER | | | |
| | Providence, Rhode Island | | | |
| | <i>For the Population Studies and Training Center</i> | | | |
| | 375,000 | | | 375,000 |
| ■ | UNIVERSITY OF CALIFORNIA, BERKELEY, COLLEGE OF NATURAL RESOURCES | | | |
| | Berkeley, California | | | |
| | <i>For the Center for Sustainable Resource Development</i> | | | |
| | 240,000 | | 80,000 | 160,000 |
| ■ | UNIVERSITY OF CALIFORNIA, BERKELEY, DEPARTMENT OF DEMOGRAPHY | | | |
| | Berkeley, California | | | |
| | <i>For general support</i> | | | |
| | 200,000 | | | 200,000 |
| ■ | UNIVERSITY OF CALIFORNIA, BERKELEY, DEPARTMENT OF MATERNAL AND CHILD HEALTH | | | |
| | Berkeley, California | | | |
| | <i>For the Priorities for Reproductive Health Program</i> | | | |
| | 280,000 | | 100,000 | 180,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, INSTITUTE FOR HEALTH POLICY STUDIES San Francisco, California <i>For the Center for Reproductive Health Policy Research (Awarded in 1996 for \$340,000)</i> | | 220,000 | | 220,000 |
| CENTER FOR CULTURAL AND TECHNICAL INTERCHANGE BETWEEN EAST AND WEST, PROGRAM ON POPULATION Honolulu, Hawaii <i>For the Summer Seminar (Awarded in 1995 for \$215,000)</i> <i>For the research project "Population and the Asian Economic Miracle" (Awarded in 1996 for \$100,000)</i> | | 75,000 | | 75,000 |
| ■ <i>For the research project "Population and the Asian Economic Miracle"</i> | 50,000 | | 50,000 | |
| CENTRO BRASILEIRO DE ANALISE E PLANEJAMENTO São Paulo, Brazil <i>For the Consortium for Research on Television and Reproductive Behavior (Awarded in 1994 for \$400,000)</i> | | 200,000 | 100,000 | 100,000 |
| ■ CHULALONGKORN UNIVERSITY Bangkok, Thailand <i>For the Institute of Population Studies</i> | 100,000 | | 35,000 | 65,000 |
| COLUMBIA UNIVERSITY, SCHOOL OF PUBLIC HEALTH New York, New York <i>For the Center for Population and Family Health (Awarded in 1996 for \$450,000)</i> | | 300,000 | | 300,000 |
| CORNELL UNIVERSITY, DEPARTMENT OF RURAL SOCIOLOGY Ithaca, New York <i>For the Population and Development Program (Awarded in 1996 for \$330,000)</i> | | 330,000 | 110,000 | 220,000 |
| UNIVERSITY OF COSTA RICA San José, Costa Rica <i>For the Central American Population Program (Awarded in 1995 for \$135,000)</i> | | 90,000 | 45,000 | 45,000 |
| ■ HARVARD UNIVERSITY Cambridge, Massachusetts <i>For the Center for Population and Development Studies</i> | 400,000 | | 150,000 | 250,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| JOHNS HOPKINS UNIVERSITY, HOPKINS POPULATION CENTER Baltimore, Maryland <i>For general support (Awarded in 1995 for \$270,000)</i> | | 180,000 | 90,000 | 90,000 |
| ■ JSI RESEARCH AND TRAINING INSTITUTE Arlington, Virginia <i>For the Empowerment of Women research program</i> | 230,000 | | | 230,000 |
| ■ MAHIDOL UNIVERSITY, INSTITUTE FOR POPULATION AND SOCIAL RESEARCH Nakhonpathom, Thailand <i>For the Institute for Population and Social Research</i> | 125,000 | | 50,000 | 75,000 |
| UNIVERSITY OF MARYLAND College Park, Maryland <i>For the Center on Population, Gender, and Social Inequality (Awarded in 1996 for \$240,000)</i> | | 80,000 | | 80,000 |
| UNIVERSITY OF MICHIGAN Ann Arbor, Michigan <i>For the Center for Population Planning (Awarded in 1995 for \$120,000)</i> <i>For general support of the Population Studies Center (Awarded in 1995 for \$225,000)</i> | | 80,000 150,000 | 40,000 75,000 | 40,000 75,000 |
| NATIONAL ACADEMY OF SCIENCES, COMMITTEE ON POPULATION Washington, D.C. <i>For international activities (Awarded in 1996 for \$350,000)</i> | | 225,000 | | 225,000 |
| UNIVERSITY OF NORTH CAROLINA, CAROLINA POPULATION CENTER Chapel Hill, North Carolina <i>For population research and training (Awarded in 1990 for \$750,000)</i> <i>For general support (Awarded in 1996 for \$400,000)</i> | (13,419)* | | (13,419)** | 100,000 |
| PENNSYLVANIA STATE UNIVERSITY University Park, Pennsylvania <i>For the Population Research Institute (Awarded in 1996 for \$330,000)</i> | | 220,000 | 110,000 | 110,000 |
| ■ UNIVERSITY OF PENNSYLVANIA Philadelphia, Pennsylvania <i>For the Graduate Group in Demography</i> | 350,000 | | | 350,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| POPULATION ASSOCIATION OF AMERICA | | | | |
| Silver Spring, Maryland | | | | |
| <i>For general support</i> | | | | |
| <i>(Awarded in 1996 for \$150,000)</i> | | | | |
| | | 100,000 | 50,000 | 50,000 |
| POPULATION COUNCIL | | | | |
| Mexico City, Mexico | | | | |
| <i>For the Gender, Family, and Development</i> | | | | |
| <i>Program</i> | | | | |
| <i>(Awarded in 1994 for \$400,000)</i> | | | | |
| | | 100,000 | 100,000 | |
| ■ POPULATION COUNCIL | | | | |
| New York, New York | | | | |
| <i>For the Social Science Research and</i> | | | | |
| <i>Fellowships Program</i> | | | | |
| | 2,200,000 | | 750,000 | 1,450,000 |
| POPULATION REFERENCE BUREAU | | | | |
| Washington, D.C. | | | | |
| <i>For general support</i> | | | | |
| <i>(Awarded in 1996 for \$500,000)</i> | | | | |
| | | 330,000 | | 330,000 |
| PRINCETON UNIVERSITY, OFFICE OF POPULATION RESEARCH | | | | |
| Princeton, New Jersey | | | | |
| <i>For general support</i> | | | | |
| <i>(Awarded in 1995 for \$375,000)</i> | | | | |
| | | 250,000 | 250,000 | |
| UNIVERSITY OF SOUTHERN CALIFORNIA, DEPARTMENT OF SOCIOLOGY | | | | |
| Los Angeles, California | | | | |
| <i>For the Population Research Laboratory</i> | | | | |
| <i>(Awarded in 1996 for \$225,000)</i> | | | | |
| | | 150,000 | 75,000 | 75,000 |
| ■ UNIVERSITY OF TEXAS, AUSTIN, POPULATION RESEARCH CENTER | | | | |
| Austin, Texas | | | | |
| <i>For the Population Research Center</i> | | | | |
| | 330,000 | | | 330,000 |
| ■ UNIVERSIDADE FEDERALE DE MINAS GERAIS, CENTRO DE DESENVOLVIMENTO E PLANEJAMENTO REGIONAL | | | | |
| Belo Horizonte, Brazil | | | | |
| <i>For the Research and Training in Demography</i> | | | | |
| <i>Program</i> | | | | |
| | 225,000 | | 75,000 | 150,000 |
| UNIVERSITY OF WASHINGTON | | | | |
| Seattle, Washington | | | | |
| <i>For the Center for Studies in Demography</i> | | | | |
| <i>and Ecology</i> | | | | |
| <i>(Awarded in 1996 for \$300,000)</i> | | | | |
| | | 100,000 | | 100,000 |

| Population: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| WESTERN CONSORTIUM FOR PUBLIC HEALTH, PACIFIC INSTITUTE FOR WOMEN'S HEALTH Los Angeles, California <i>For a research program entitled "Demographic and Health Outcomes of Economic Integration in the Americas" (Awarded in 1994 for \$450,000)</i> | | 150,000 | 150,000 | |
| <i>Contraceptive Development</i> | | | | |
| ■ EASTERN VIRGINIA MEDICAL SCHOOL Arlington, Virginia <i>For the Contraceptive Research and Development Program</i> | 550,000 | | | 550,000 |
| TOTAL POPULATION | \$14,336,581* | \$9,880,000 | \$8,811,581** | \$15,405,000 |

* 1997 authorizations (\$14,350,000) minus refund (\$13,419).

** 1997 payments (\$8,825,000) minus refund (\$13,419).

U.S.-Latin American Relations

The Foundation's new U.S.-Latin American relations initiative is an invitation-only program providing support for research and outreach activities that address the central issues in Latin American development and the varied relationships between the United States and the countries of Latin America.

The essential objective of the U.S.-Latin American relations program is to create new communities of interest in the Americas by encouraging meaningful collaboration among U.S. and Latin American institutions engaged in basic and policy research and related outreach activities. Institutions funded by the program will include both first-tier public and private research universities, academic institutions with promising emergent Latin American programs, non-university policy-research centers, and non-governmental and other organizations that provide a bridge between scholarship and public policy. The Foundation's commitment to Latin American research at leading university-based centers will continue. These major institutions have great potential for long-term policy impact through their combination of outstanding human resources, serious basic and policy research, teaching and training, convening power and infrastructure, and such subtle long-term influences as technology transfer, the education of future policy-makers, and the creation of a public more informed about Latin American realities and U.S.-Latin American relations. These institutions will be a particular— but not the exclusive—focus of the program in 1998.

Four fundamental criteria guide the making of grants in the U.S.-Latin American relations program:

- Funds should facilitate serious research on issues of long-term significance for the region; the focus of policy-oriented projects should be well-designed research with direct policy implications rather than speculative or ephemeral policy studies.

Program Description

- Funded programs should seek the active participation of policy-makers, opinion leaders, and representatives of stakeholder communities.
- Programs should be designed so as to result in significant enhancement of institutional strengths in the Latin American field, involving and benefiting the largest possible number of faculty or personnel across disciplines.
- Strong preference is accorded projects that conduct activities in active collaboration with partner institutions.

Three basic classes of grants to support policy-research programs in Latin America and the United States are considered. First, collaboration grants are made to institutions in cooperative partnerships that transcend national boundaries. Such grants support research and related activities that are conducted by institutions in close association with counterparts from different countries of the Americas. Second, grants of general support are made to individual institutions to support work on U.S.—Latin American relations. These institutions are generally comprehensive research and studies centers. Third, project-specific grants are made to support focused work on specific issues.

Grants are made in four component areas:

- *Free Trade and Comparative Political Economy.* Analysis of commercial relations in the western hemisphere, domestic economic policymaking, and the impact of economic issues on bilateral and multilateral relationships.
- *Poverty and Social Policy.* Analysis of poverty, the formation and application of social policy, and the impact of social issues on bilateral and multilateral relationships.
- *Democratization and the Rule of Law.* Projects focusing on political trends, issues in representation, legal reform, and the impact of these issues on bilateral and multilateral relationships.
- *Hemispheric and Transboundary Environmental Issues.* Special consideration is given programs addressing issues of environmental degradation, natural-resource management, biodiversity, preservation, and the impact of these issues on bilateral and multilateral relationships.

Priority subregions in the program's initial period of expansion include Mexico, the U.S.-Mexican border region, Chile, Argentina, and Brazil. The three South American countries have been selected for the initial phase of program development because of their historical and current significance to the United States, their large economies and populations, and their well-developed policy-research infrastructures.

Proposals are considered on an invitation-only basis, and, in order to support the maximum number of new institutions, support is generally granted for an initial period of two years. Grants support activities primarily at U.S. and Latin American institutions; because of the budget and foreign-exchange constraints imposed by the recent economic crisis, Latin American institutions will be a particular focus of support in 1998.

| U.S.-Latin American Relations: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>U.S.-Mexican Relations</i> | | | | |
| UNIVERSITY OF CALIFORNIA, BERKELEY, CENTER FOR LATIN AMERICAN STUDIES Berkeley, California <i>For the U.S.-Mexico Program (Awarded in 1996 for \$225,000)</i> | | \$150,000 | \$75,000 | \$75,000 |
| ■ UNIVERSITY OF CALIFORNIA, SAN DIEGO La Jolla, California <i>For the Center for U.S.-Mexican Studies</i> | 200,000 | | 200,000 | |
| CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES Washington, D.C. <i>For the Mexico Project (Awarded in 1994 for \$300,000)</i> | | 100,000 | 100,000 | |
| ■ CENTRO DE INVESTIGACION Y DOCENCIA ECONOMICAS Mexico City, Mexico <i>For a research project on telecommunications deregulation in Mexico and Latin America</i> | 50,000 | | 50,000 | |
| UNIVERSITY OF CHICAGO Chicago, Illinois <i>For the Mexican Studies Program (Awarded in 1994 for \$450,000)</i> | | 150,000 | 150,000 | |
| CITY UNIVERSITY OF NEW YORK, BILDNER CENTER FOR WESTERN HEMISPHERE STUDIES New York, New York <i>For the program on U.S.-Mexico relations (Awarded in 1995 for \$450,000)</i> | | 150,000 | 150,000 | |
| EL COLEGIO DE LA FRONTERA NORTE Tijuana, Mexico <i>For general support (Awarded in 1994 for \$450,000)</i> | | 150,000 | 150,000 | |
| ■ EL COLEGIO DE MEXICO, CENTER FOR INTERNATIONAL STUDIES Mexico City, Mexico <i>For the U.S.-Mexico Studies Program</i> | 300,000 | | 100,000 | 200,000 |
| FUNDACION MEXICO-ESTADOS UNIDOS PARA LA CIENCIA Mexico City, Mexico <i>For a program of graduate fellowships for Mexican students studying in the United States (Awarded in 1996 for \$300,000)</i> | | 200,000 | 100,000 | 100,000 |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| U.S.-Latin American Relations: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| GEORGETOWN UNIVERSITY, CENTER FOR LATIN AMERICAN STUDIES Washington, D.C. <i>For the Mexico Project</i> (Awarded in 1996 for \$300,000) | | 100,000 | | 100,000 |
| HARVARD UNIVERSITY, JOHN F. KENNEDY SCHOOL OF GOVERNMENT Cambridge, Massachusetts <i>For the U.S.-Mexico Press and Public Policy Program</i> (Awarded in 1996 for \$25,000) | | 25,000 | 25,000 | |
| INSTITUTE OF INTERNATIONAL EDUCATION New York, New York <i>For fellowship grants to Mexicans studying in the United States</i> (Awarded in 1995 for \$300,000) | | 100,000 | 100,000 | |
| ■ INSTITUTO TECNOLOGICO AUTONOMO DE MEXICO Mexico City, Mexico <i>For the North American Public Policy Studies Program</i> | 300,000 | | 150,000 | 150,000 |
| INSTITUTO TECNOLOGICO Y DE ESTUDIOS SUPERIORES DE MONTERREY, CENTER FOR STRATEGIC STUDIES Monterrey, Nuevo León, Mexico <i>For the U.S.-Mexico Program</i> (Awarded in 1996 for \$300,000) | | 200,000 | 100,000 | 100,000 |
| UNIVERSITY OF NEW MEXICO Albuquerque, New Mexico <i>For the International Transboundary Resources Center</i> (Awarded in 1996 for \$300,000) | | 200,000 | 100,000 | 100,000 |
| ■ STANFORD UNIVERSITY, INSTITUTE FOR INTERNATIONAL STUDIES Stanford, California <i>For the North America Forum</i> | 300,000 | | 150,000 | 150,000 |
| ■ TEXAS CHRISTIAN UNIVERSITY, LATIN AMERICAN STUDIES PROGRAM Fort Worth, Texas <i>To initiate a program in Mexican historical policy studies</i> | 50,000 | | 50,000 | |
| UNIVERSITY OF TEXAS, AUSTIN, L.B.J. SCHOOL OF PUBLIC AFFAIRS Austin, Texas <i>For the U.S.-Mexican Policy Studies Program</i> (Awarded in 1996 for \$375,000) | | 250,000 | 125,000 | 125,000 |

| U.S.–Latin American Relations: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| ■ UNIVERSIDAD AUTONOMA METROPOLITANA UNIDAD AZCAPOTZALCO Azcapotzalco, Mexico <i>For the U.S.-Mexican Policy Studies Project</i> | 200,000 | | 100,000 | 100,000 |
| ■ UNIVERSIDAD DE GUADALAJARA, INSTITUTO DE ESTUDIOS ECONOMICOS Y REGIONALES Zapopan, Jalisco, Mexico <i>For the program on U.S.-Mexico relations</i> | 375,000 | | 125,000 | 250,000 |
| UNIVERSIDAD DE LAS AMERICAS PUEBLA Cholula, Puebla, Mexico <i>For the U.S.-Mexico Program (Awarded in 1996 for \$300,000)</i> | | 200,000 | 100,000 | 100,000 |
| UNIVERSIDAD NACIONAL AUTONOMA DE MEXICO, CENTRO DE INVESTIGACIONES SOBRE AMERICA DEL NORTE Mexico City, Mexico <i>For the project on U.S.-Canada-Mexico relations (Awarded in 1996 for \$300,000)</i> | | 100,000 | | 100,000 |

U.S.–Latin American Relations

| | | | | |
|---|---------|--|---------|---------|
| ■ CALIFORNIA STATE UNIVERSITY, LOS ANGELES, DEPARTMENT OF HISTORY Los Angeles, California <i>For the project entitled “Social and Political Responses to Globalization in Latin America”</i> | 200,000 | | 100,000 | 100,000 |
| ■ UNIVERSITY OF CALIFORNIA, SAN DIEGO, CENTER FOR U.S.-MEXICAN STUDIES La Jolla, California <i>For a conference on Mexican political culture</i> | 25,000 | | | 25,000 |
| ■ HARVARD UNIVERSITY Cambridge, Massachusetts <i>For the David Rockefeller Center for Latin American Studies</i> | 200,000 | | 100,000 | 100,000 |
| ■ JOHNS HOPKINS UNIVERSITY, PAUL H. NITZE SCHOOL OF ADVANCED INTERNATIONAL STUDIES Washington, D.C. <i>For the Latin American Studies Program</i> | 150,000 | | 150,000 | |
| ■ UNIVERSITY OF NEW MEXICO, LATIN AMERICAN INSTITUTE Albuquerque, New Mexico <i>For a collaborative project with the Universidad Torcuato di Tella on NAFTA and Mercosur</i> | 200,000 | | 100,000 | 100,000 |

| U.S.-Latin American Relations: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|---------------------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| <p>■ SAN DIEGO STATE UNIVERSITY, CENTER FOR LATIN AMERICAN STUDIES San Diego, California <i>For a policy studies program with a focus on Chile</i></p> | 50,000 | | 50,000 | |
| <p>■ STANFORD UNIVERSITY, SOCIAL SCIENCE HISTORY INSTITUTE Stanford, California <i>For a program on Economic History and Economic Policy-Making in Latin America</i></p> | 50,000 | | | 50,000 |
| <p>■ TULANE UNIVERSITY, ROGER THAYER STONE LATIN AMERICAN CENTER New Orleans, Louisiana <i>For the project "Democracy Through Latin American Lenses"</i></p> | 200,000 | | 100,000 | 100,000 |
| <p>■ UNIVERSIDAD TORCUATO DI TELLA Buenos Aires, Argentina <i>For a collaborative project with the University of New Mexico on NAFTA and Mercosur</i></p> | 200,000 | | 100,000 | 100,000 |
| TOTAL U.S.-LATIN AMERICAN RELATIONS | \$3,050,000 | \$2,075,000 | \$2,900,000 | \$2,225,000 |

Special Projects

| Special Projects: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Public Policy</i> | | | | |
| AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH Washington, D.C. | | | | |
| <i>For general support (Awarded in 1995 for \$300,000)</i> | | \$100,000 | \$100,000 | |
| BROOKINGS INSTITUTION Washington, D.C. | | | | |
| <i>For general support (Awarded in 1996 for \$350,000)</i> | | 150,000 | 100,000 | 50,000 |
| ■ UNIVERSITY OF CALIFORNIA, BERKELEY, INSTITUTE OF GOVERNMENTAL STUDIES Berkeley, California | | | | |
| <i>For a research and writing project</i> | 30,000 | | 30,000 | |
| ■ PACIFIC COUNCIL ON INTERNATIONAL POLICY Los Angeles, California | | | | |
| <i>For general support</i> | 250,000 | | 125,000 | 125,000 |
| ■ PUBLIC AGENDA FOUNDATION New York, New York | | | | |
| <i>For the Public Agenda Online Project</i> | 25,000 | | 25,000 | |
| STANFORD UNIVERSITY Stanford, California | | | | |
| <i>For the Center for Economic Policy Research (Awarded in 1995 for \$300,000)</i> | | 150,000 | 150,000 | |
| <i>Nonprofit Service Organizations</i> | | | | |
| FOUNDATION CENTER New York, New York | | | | |
| <i>For general support (Awarded in 1996 for \$150,000)</i> | | 100,000 | 50,000 | 50,000 |
| INDEPENDENT SECTOR Washington, D.C. | | | | |
| <i>For general support (Awarded in 1996 for \$400,000)</i> | | 266,000 | 133,000 | 133,000 |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Special Projects: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| <i>Other</i> | | | | |
| ■ ASIA-PACIFIC ECONOMIC COOPERATION EDUCATION FOUNDATION Fort Lauderdale, Florida <i>To establish a Virtual University of Asia Pacific</i> | 35,000 | | | 35,000 |
| ■ ASSISTANCE LEAGUE OF SANTA CLARA COUNTY Los Altos, California <i>For recarpeting the common areas of the Flora Lamson Hewlett Apartments</i> | 25,000 | | 25,000 | |
| ■ BERKELEY REPERTORY THEATRE Berkeley, California <i>For the Campaign for Expansion</i> | 350,000 | | 175,000 | 175,000 |
| BOYS AND GIRLS CLUB OF THE PENINSULA Menlo Park, California <i>For general support (Awarded in 1996 for \$25,000)</i> | | 25,000 | 25,000 | |
| ■ CALIFORNIA STATE UNIVERSITY, MONTEREY BAY Seaside, California <i>For the Leon Panetta Lecture Series</i> | 20,000 | | | 20,000 |
| ■ UNIVERSITY OF CALIFORNIA, BERKELEY Berkeley, California <i>For the Pacific Neighborhood Consortium</i> | 50,000 | | 50,000 | |
| UNIVERSITY OF CALIFORNIA, BERKELEY, INTERNATIONAL AND AREA STUDIES Berkeley, California <i>For the American Center for German Studies in Berlin (Awarded in 1995 for \$75,000)</i> | | 50,000 | | 50,000 |
| UNIVERSITY OF CALIFORNIA, BERKELEY, OFFICE OF THE CHANCELLOR Berkeley, California <i>For doctoral fellowships (Awarded in 1995 for \$2,000,000)</i> | | 1,500,000 | 500,000 | 1,000,000 |
| ■ UNIVERSITY OF CALIFORNIA, LOS ANGELES Los Angeles, California <i>For a colloquium entitled "Challenges Facing Higher Education in Western Industrialized Countries"</i> | 35,000 | | 35,000 | |

| Special Projects: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|---|------------------------------|------------------------------|--------------------------|------------------------------|
| CENTER FOR ADVANCED STUDIES IN THE BEHAVIORAL SCIENCES Stanford, California <i>For the endowment for international fellows (Awarded in 1994 for \$500,000)</i> | | | | |
| | | 100,000 | | 100,000 |
| ■ CHABOT OBSERVATORY AND SCIENCE CENTER Oakland, California <i>For new observatory and science center facilities</i> | | | | |
| | 50,000 | | 50,000 | |
| FAMILY FOUNDATION OF NORTH AMERICA Milwaukee, Wisconsin <i>For the Community Centered Initiative for Family Service America (Awarded in 1996 for \$300,000)</i> | | | | |
| | | 200,000 | | 200,000 |
| ■ GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS ASSOCIATION, WETA PUBLIC TELEVISION AND RADIO Washington, D.C. <i>For the production of a documentary on Lewis and Clark</i> | | | | |
| | 25,000 | | 25,000 | |
| ■ HANDEL HOUSE FOUNDATION OF AMERICA London, England <i>For the Handel House Museum</i> | | | | |
| | 40,000 | | 40,000 | |
| HARVARD UNIVERSITY, GRADUATE SCHOOL OF EDUCATION Cambridge, Massachusetts <i>For the project on humane creativity (Awarded in 1996 for \$250,000)</i> | | | | |
| | | 175,000 | 100,000 | 75,000 |
| ■ THE MEXICAN MUSEUM San Francisco, California <i>For general support</i> | | | | |
| | 50,000 | | 50,000 | |
| NATIONAL ASSOCIATION OF STATE UNIVERSITIES AND LAND-GRANT COLLEGES Washington, D.C. <i>For the National Minority Graduate Feeder Program (Awarded in 1996 for \$50,000)</i> | | | | |
| | (10,000)* | | (10,000)** | |
| ■ NATIONAL HUMANITIES CENTER, FULBRIGHT PROGRAM Washington, D.C. <i>For the Fulbright Program</i> | | | | |
| | 40,000 | | 40,000 | |

| Special Projects: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| ■ NATIONAL PUBLIC RADIO Washington, D.C. <i>For general support</i> | 375,000 | | 125,000 | 250,000 |
| ■ SALZBURG SEMINAR Middlebury, Vermont <i>For the Universities Project</i> | 750,000 | | 750,000 | |
| TOTAL SPECIAL PROJECTS | \$2,140,000* | \$2,816,000 | \$2,693,000** | \$2,263,000 |

* 1997 authorizations (\$2,150,000) minus refund (\$10,000).

** 1997 payments (\$2,703,000) minus refund (\$10,000).

Interprogram Initiatives

| Interprogram Initiatives: Organizations | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| BAYVIEW-HUNTERS POINT FOUNDATION FOR COMMUNITY, BALBOA TEEN HEALTH CENTER San Francisco, California <i>For a pregnancy and STD prevention program for high-risk youth (Awarded in 1995 for \$200,000)</i> | | 90,000 | 74,000 | 16,000 |
| ■ CALIFORNIA FOUNDATION FOR IMPROVEMENT OF EMPLOYER-EMPLOYEE RELATIONS Sacramento, California <i>For general support and for support of the Site-Based Shared Decision-Making Project</i> | 350,000 | | 290,000 | 60,000 |
| EAST PALO ALTO HISTORICAL AND AGRICULTURAL SOCIETY East Palo Alto, California <i>For general support (Awarded in 1996 for \$60,000)</i> | | 30,000 | 30,000 | |
| ■ FINANCE PROJECT Washington, D.C. <i>For general support</i> | 300,000 | | 100,000 | 200,000 |
| ■ FRIENDS OF GOLDEN GATE LIBRARY Oakland, California <i>For the Jazz on Sundays program</i> | 10,000 | | | 10,000 |
| ■ GEORGETOWN UNIVERSITY Washington, D.C. <i>For a project to assess the implementation and impact of U.S. immigration policy</i> | 300,000 | | | 300,000 |
| UNIVERSITY OF PENNSYLVANIA, POPULATION STUDIES CENTER Philadelphia, Pennsylvania <i>For a study of Mexican migration to the United States (Awarded in 1994 for \$400,000)</i> | | 150,000 | 150,000 | |
| RAND CORPORATION, CENTER FOR RESEARCH ON IMMIGRATION POLICY Santa Monica, California <i>For research on California immigration (Awarded in 1995 for \$375,000)</i> | | 125,000 | | 125,000 |

■ Grants newly authorized in 1997 are highlighted by square boxes.

| Interprogram Initiatives: Organizations (by Category) | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|--|------------------------------|------------------------------|--------------------------|------------------------------|
| <hr/> | | | | |
| SAN DIEGO STATE UNIVERSITY, INSTITUTE FOR REGIONAL STUDIES San Diego, California <i>For the environmental and public policy issues of the Mexico-U.S. border region program (Awarded in 1995 for \$210,000)</i> | | 140,000 | 70,000 | 70,000 |
| <hr/> | | | | |
| SAN FRANCISCO FOUNDATION, COMMUNITY INITIATIVE FUNDS Sacramento, California | | | | |
| ■ <i>For the Foundation Consortium for School-Linked Services</i> | 750,000 | | | 750,000 |
| ■ <i>For the San Francisco Art Commission for the Arts Education Funders' Collaborative</i> | 150,000 | | 40,000 | 110,000 |
| <hr/> | | | | |
| UNIVERSITY OF TEXAS, AUSTIN, L.B.J. SCHOOL OF PUBLIC AFFAIRS Austin, Texas <i>For the Program on Migration Studies (Awarded in 1994 for \$450,000)</i> | | 300,000 | 300,000 | |
| <hr/> | | | | |
| YOUNG AUDIENCES OF THE BAY AREA San Francisco, California <i>For general support and for support of Young Audiences of San Jose (Awarded in 1996 for \$120,000)</i> | | 80,000 | 40,000 | 40,000 |
| <hr/> | | | | |
| TOTAL INTERPROGRAM INITIATIVES | \$1,860,000 | \$915,000 | \$1,094,000 | \$1,681,000 |

Summary of 1997 Authorizations and Payments

| | Grants Authorized 1997 | Unpaid Grants 12/31/96 | Payments Made 1997 | Unpaid Grants 12/31/97 |
|------------------------------------|------------------------------|------------------------------|--------------------------|------------------------------|
| Conflict Resolution | \$ 6,265,000 | \$ 3,005,000 | \$ 4,685,000 | \$ 4,585,000 |
| Education | 7,933,000 | 22,080,000 | 6,394,000 | 23,619,000 |
| Environment | 6,000,000 | 1,745,000 | 3,925,000 | 3,820,000 |
| Family and Community Development | 4,580,000 | 1,967,500 | 3,197,500 | 3,350,000 |
| Performing Arts | 5,475,000 | 2,427,500 | 4,322,500 | 3,580,000 |
| Population | 14,336,581 | 9,880,000 | 8,811,581 | 15,405,000 |
| U.S.-Latin American Relations | 3,050,000 | 2,075,000 | 2,900,000 | 2,225,000 |
| Special Projects | 2,140,000 | 2,816,000 | 2,693,000 | 2,263,000 |
| Interprogram Initiatives | 1,860,000 | 915,000 | 1,094,000 | 1,681,000 |
| TOTAL: ALL PROGRAM AREAS | 51,639,581 | 46,911,000 | 38,022,581 | 60,528,000 |
| OTHER CHARITABLE ACTIVITIES | 642,170 | | 642,170 | |
| TOTAL AUTHORIZATIONS | \$52,281,751 | \$46,911,000 | \$38,664,751 | \$60,528,000 |

Advice to Applicants

because the foregoing program descriptions are brief and are under continuing review, the most efficient means of initial contact with the Hewlett Foundation is a letter of inquiry, addressed to the president. The letter should contain a brief statement of the applicant's need for funds and enough factual information to enable the staff to determine whether or not the application falls within the Foundation's areas of preferred interest or warrants consideration as a special project. There is no fixed minimum or maximum with respect to the size of grants; applicants should provide a straightforward statement of their needs and aspirations for support, taking into account other possible sources of funding.

Letters of application will be briefly acknowledged upon their receipt, but because the Foundation prefers to operate with a small staff, a more detailed response may in some cases be delayed. Applicants who have not had a substantive reply after a reasonable period of time should feel free to make a follow-up inquiry.

The Foundation recognizes that significant programs require time to demonstrate their value. It is therefore willing to consider proposals covering several years of support. While the Foundation will entertain specific projects in its areas of interest and will on occasion provide general support for organizations of special interest, it expects to work primarily through organizations active in its main programs. One exception is the family and community development program, under which the Foundation makes some small grants for specific projects that meet an immediate community need. Like most foundations, the Hewlett Foundation is unwilling to assume responsibility for the long-term support of any organization or activity.

All inquiries are reviewed first by the relevant program officer. He or she will either (1) in consultation with the president, decline a request that seems unlikely to result in a project the Foundation can support; (2) request further information if a decision cannot be made on the basis of the initial inquiry; or (3) present the request to the rest of the staff for discussion.

Applicants who receive a favorable response to their initial inquiry will be invited to submit a formal proposal. Special supporting materials may be requested in some cases, but normally the proposal should include:

- A concise statement of the purpose of the request, its significance or uniqueness in relation to other work being done in the field, and the results sought.
- A budget for the program; an indication of other prospective funding sources and the amount requested of each; and a statement of the sponsoring organization's total budget and financial position. Applicants should indicate how they would continue a successful program once support from the Hewlett Foundation ceases.
- The identity and qualifications of the key personnel to be involved.
- A list of members of the governing body.
- Evidence of tax-exempt status.
- A statement to the effect that the proposal has been reviewed by the applicant's governing body and specifically approved for submission to the William and Flora Hewlett Foundation.

Normally the Foundation will not consider grants for basic research, capital construction funds, grants in the medical or health-related fields, or general fund-raising drives. It will not make grants or loans to individuals or grants intended directly or indirectly to support candidates for political office or to influence legislation.

Grants must be approved by the Board of Directors, which meets quarterly. Meeting dates are available upon request, but applicants should realize that even proposals which are to be recommended for Board approval cannot in every case be reviewed at the first meeting following their receipt. All inquiries and proposals are reported to the Board, including those declined at the staff level.

Financial Statements

THE WILLIAM AND FLORA HEWLETT FOUNDATION

REPORT OF INDEPENDENT ACCOUNTANTS

*To the Board of Directors of
The William and Flora Hewlett Foundation*

In our opinion, the accompanying statement of financial position and the related statements of activities and changes in unrestricted net assets and of cash flows present fairly, in all material respects, the financial position of The William and Flora Hewlett Foundation (the Foundation) at December 31, 1997 and 1996, and the results of its operations and its cash flows for the years then ended in conformity with generally accepted accounting principles. These financial statements are the responsibility of the Foundation's management; our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits of these statements in accordance with generally accepted auditing standards which require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for the opinion expressed above.

SAN FRANCISCO, CALIFORNIA
MARCH 3, 1998

Statement of Financial Position

| | December 31 | |
|--|-------------------------|-------------------------|
| | 1997 | 1996 |
| ASSETS | | |
| Investments <i>(Note 1)</i> | | |
| Hewlett-Packard Company common stock | \$ 321,606,000 | \$ 264,114,000 |
| Other public domestic equities | 634,223,000 | 488,342,000 |
| Public international equities | 226,073,000 | 168,780,000 |
| Real estate, venture capital, and other private equities | 238,701,000 | 161,540,000 |
| Fixed income | 335,912,000 | 408,356,000 |
| Total investments | <u>1,756,515,000</u> | <u>1,491,132,000</u> |
| Cash | 58,000 | 88,000 |
| Federal excise tax refundable | -0- | 529,000 |
| Contribution receivable | 4,103,000 | 9,328,000 |
| Program-related loan receivable | 5,143,000 | 5,714,000 |
| Office equipment and other assets | 791,000 | 329,000 |
| | <u>\$ 1,766,610,000</u> | <u>\$ 1,507,120,000</u> |
| LIABILITIES AND NET ASSETS | | |
| Accounts payable and accrued liabilities | \$ 1,858,000 | \$ 1,865,000 |
| Grants payable <i>(Note 2)</i> | 60,528,000 | 46,911,000 |
| Federal excise tax payable currently | 145,000 | -0- |
| Deferred federal excise tax <i>(Note 3)</i> | 6,906,000 | 5,004,000 |
| Total liabilities | <u>69,437,000</u> | <u>53,780,000</u> |
| Unrestricted net assets of the Foundation | <u>1,697,173,000</u> | <u>1,453,340,000</u> |
| | <u>\$ 1,766,610,000</u> | <u>\$ 1,507,120,000</u> |

See accompanying notes to financial statements on pp. 93-94.

*Statement of Activities and
Changes in Unrestricted Net Assets*

| | December 31 | |
|--|--------------------------------|--------------------------------|
| | 1997 | 1996 |
| Net revenues and gains: | | |
| Interest, dividends, and other | \$ 50,887,000 | \$ 45,554,000 |
| Gain on investment portfolio | 259,539,000 | 161,685,000 |
| Investment management expense | (5,600,000) | (4,086,000) |
| Net investment income | <u>304,826,000</u> | <u>203,153,000</u> |
| Federal excise tax expense on investment income (<i>Note 3</i>) | (5,417,000) | (1,679,000) |
| Net unrestricted revenues and gains | <u>299,409,000</u> | <u>201,474,000</u> |
| Expenses: | | |
| Grants authorized, net of cancellations | (52,282,000) | (47,492,000) |
| Administrative expenses | (3,294,000) | (3,096,000) |
| Increase in unrestricted net assets | <u>243,833,000</u> | <u>150,886,000</u> |
| Unrestricted net assets at beginning of period | <u>1,453,340,000</u> | <u>1,302,454,000</u> |
| Unrestricted net assets at end of period | <u><u>\$ 1,697,173,000</u></u> | <u><u>\$ 1,453,340,000</u></u> |

See accompanying notes to financial statements on pp. 93–94.

Statement of Cash Flows

| | Year Ended December 31 | |
|---|------------------------|---------------------|
| | 1997 | 1996 |
| Cash flows from operating activities: | | |
| Increase in unrestricted net assets | \$ 243,833,000 | \$ 150,886,000 |
| Adjustments to reconcile increase in unrestricted net assets to net cash from investment income and operating expenses: | | |
| Depreciation and other | 161,000 | 122,000 |
| Increase (decrease) in deferred federal excise tax provision | 1,902,000 | (992,000) |
| Net (gain) on investment portfolio | (259,539,000) | (161,685,000) |
| Change in assets and liabilities: | | |
| Decrease (increase) in federal excise tax refundable | 529,000 | (529,000) |
| Decrease in contribution receivable | 5,225,000 | 5,672,000 |
| Decrease in program-related loan receivable | 571,000 | 286,000 |
| (Decrease) increase in accounts payable and accrued expenses | (7,000) | 504,000 |
| Increase (decrease) in federal excise tax payable | 145,000 | (610,000) |
| Increase (decrease) in grants payable | 13,617,000 | (21,888,000) |
| Net cash from (used for) operating activities | <u>6,437,000</u> | <u>(28,234,000)</u> |
| Cash flows from investing activities: | | |
| Proceeds from sales of investment assets | 1,760,270,000 | 1,921,424,000 |
| Purchases of investment assets | (1,766,114,000) | (1,892,930,000) |
| Capital asset additions and other | (623,000) | (173,000) |
| Net cash (used for) from investing activities | <u>(6,467,000)</u> | <u>28,321,000</u> |
| Net (decrease) increase in cash | (30,000) | 87,000 |
| Cash at beginning of period | 88,000 | 1,000 |
| Cash at end of period | <u>\$ 58,000</u> | <u>\$ 88,000</u> |

See accompanying notes to financial statements on pp. 93-94.

Notes to Financial Statements

December 31, 1997 and 1996

NOTE 1 Investments

Investments represent a diversified portfolio of public and private equity and debt investments. Public equity consists of a diversified portfolio of domestic and international stocks. Private equity primarily consists of real estate and venture capital. Fixed income securities primarily consist of U.S. government, federal agency, and corporate bonds and notes.

Public equity and fixed income securities are carried at market value as determined by quoted market prices. Because no readily ascertainable market values exist for private equity investments, they are accounted for under the equity method. Management believes that this method provides a reasonable estimate of market value. These estimated values could, however, differ from those which might be available if publicly traded market values existed. Dividends and interest income are accrued when earned. The unrealized increase (decrease) in the market value of investments held at year end is determined by using market values at the beginning and end of the year, or on the date acquired if purchased or contributed to the Foundation during the year. Realized gains (losses) on sales are determined based on cost, with cost being determined on a specific identification basis. The net gain on investment portfolio includes realized gains of \$116,645,000 and \$211,219,000 in 1997 and 1996, respectively.

The Foundation held 5,156,000 shares of Hewlett-Packard Company stock (approximately 0.5% of that Company's total outstanding shares) with a market price of \$62.375 per share at December 31, 1997. At December 31, 1996, the Foundation held 5,256,000 shares with a market price of \$50.25 per share.

Funds in the amount of \$128,400,000 are committed for future investment in real estate, venture capital, and other private equities.

Certain reclassifications have been made to prior year investment balances to conform with the current year presentation.

NOTE 2 Grants Payable

Grant requests are recorded as grants payable when they are approved by the Board of Directors. Some of the grants are payable in installments, generally over a three-year period. Grants authorized but unpaid at December 31, 1997, are payable as follows:

| <u>Year Payable</u> | <u>Amount</u> |
|---------------------|----------------------|
| 1998 | \$ 43,426,000 |
| 1999 | 14,240,000 |
| 2000 and thereafter | 2,862,000 |
| | <u>\$ 60,528,000</u> |

NOTE 3

Federal Excise Tax

The William and Flora Hewlett Foundation is a private foundation and qualifies as a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code. Private foundations are subject to a federal excise tax on net investment income and may reduce their federal excise tax rate from 2% to 1% by exceeding a certain payout target for the year. The Foundation paid at the 2% tax rate in 1997 and qualified for the 1% rate in 1996. Deferred federal excise tax is provided at 1.33%, the average effective rate expected to be paid on unrealized gains on investments.

The provisions for federal excise tax were as follows:

| | <u>1997</u> | <u>1996</u> |
|----------|---------------------|---------------------|
| Current | \$ 3,515,000 | \$ 2,671,000 |
| Deferred | 1,902,000 | (992,000) |
| | <u>\$ 5,417,000</u> | <u>\$ 1,679,000</u> |

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